

**SMART SURGICAL NEEDLE ACTUATED BY SHAPE MEMORY  
ALLOYS FOR PERCUTENEOUS PROCEDURES**

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DOCTOR OF PHILOSOPHY

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by  
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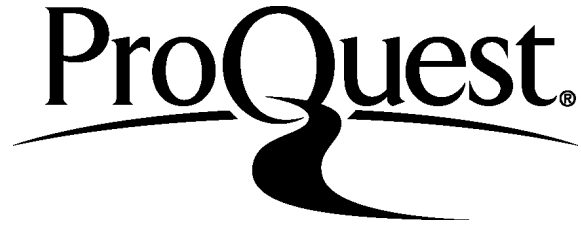
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## ABSTRACT

Background: Majority of cancer interventions today are performed percutaneously using needle-based procedures, i.e. through the skin and soft tissue. Needle insertion is known as one of the recent needle-based techniques that is used in several diagnostic and therapeutic medical procedures such as brachytherapy, thermal ablations and breast biopsy. The difficulty in most of these procedures is to attain a precise navigation through tissue reaching target locations. Insufficient accuracy using conventional surgical needles motivated researchers to provide actuation forces to the needle's body for compensating the possible errors of surgeons/physicians. Therefore, active needles were proposed recently where actuation forces provided by shape memory alloys (SMAs) are utilized to assist the maneuverability and accuracy of surgical needles. This work also aims to introduce a novel needle insertion simulation to predict the deflection of a bevel tip needle inside the tissue. Development of a model to predict the behavior of the needle steering in the soft tissue has been always a point of interest as it could improve the performance of many percutaneous needle-based procedures.

Methods: In this work first, the actuation capability of a single SMA wire was studied. The complex response of SMAs was investigated via a MATLAB implementation of the Brinson model and verified via experimental tests. The material characteristics of SMAs

were simulated by defining multilinear elastic isothermal stress-strain curves. Rigorous experiments with SMA wires were performed to determine the material properties as well as to show the capability of the code to predict a stabilized SMA transformation behavior with sufficient accuracy. The isothermal stress-strain curves of SMAs were simulated and defined as a material model for the Finite Element Analysis of the active needle.

In the second part of this work, a three-dimensional finite element (FE) model of the active steerable needle was developed to demonstrate the feasibility of using SMA wires as actuators to bend the surgical needle. In the FE model, birth and death method of defining boundary conditions, available in ANSYS, was used to achieve the pre-strain condition on SMA wire prior to actuation. This numerical model was validated with needle deflection experiments with developed prototypes of the active needle.

The third part of this work describes the design optimization of the active using genetic algorithm aiming for its maximum flexibility. Design parameters influencing the steerability include the needle's diameter, wire diameter, pre-strain, and its offset from the needle. A simplified model was developed to decrease the computation time in iterative analyses of the optimization algorithm.

In the fourth part of this work a design of an active needling system was proposed where actuation forces of SMAs as well as shape memory polymers (SMPs) were incorporated. SMP elements provide two major additional advantages to the design: (i) recovery of the SMP's plastic deformation by heating the element above its glass transition temperature, and (ii) achieving a higher needle deflection by having a softer stage of SMP at higher temperatures with less amount of actuation force.

Finally, in the fifth and last part of this study, an Arbitrary-Lagrangian-Eulerian formulation in LS-DYNA software was used to model the solid-fluid interactions between the needle and tissue. A 150mm long needle was considered to bend within the tissue due to the interacting forces on its asymmetric bevel tip. Some additional assumptions were made to maintain a reasonable computational time, with no need of parallel processing, while having practical accuracies. Three experimental tests of needle steering in a soft phantom were performed to validate the simulation.

Results: The finite element model of the active needle was first validated experimentally with developed prototypes. Several design parameters affecting the needle's deflection such as the needle's Young's modulus, the SMA's pre-strain and its offset from the neutral axis of the cannula were studied using the FE model. Then by the integration of the SMA characteristics with the automated optimization schemes an improved design of the active needle was obtained. Real-time experiments with different prototypes showed that the quickest response and the maximum deflection were achieved by the needle with two sections of actuation compared to a single section of actuation. Also the feasibility of providing actuation forces using both SMAs and SMPs for the surgical needle was demonstrated in this study.

The needle insertion simulation was validated while observing less than 10% deviation between the estimated amount of needle deflection by the simulation and by the experiments. Using this model the effect of needle diameter and its bevel tip angle on the final shape of the needle was investigated.

Conclusion: The numerical and experimental studies of this work showed that a highly maneuverable active needle can be made using the actuation of multiple SMA wires in series. To maneuver around the anatomical obstacles of the human body and reach the target location, thin sharp needles are recommended as they would create a smaller radius of curvature. The insertion model presented in this work is intended to be used as a base structure for path planning and training purposes for future studies.

To my parents, who raised me,  
and my sister Mahsa, who motivated me



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## CHAPTER 1

### INTRODUCTION

#### 1.1. Shape memory alloy as a suitable component for active systems

To date, many biomedical devices have utilized the pseudoelastic properties of advanced, active and adaptive materials such as coronary stents, eyeglasses and orthodontic wires (Auricchio, Taylor, & Lubliner, 1997). The actuation properties of the active materials have also attracted a lot of attention, especially in medical devices such as active cardiac catheters (Haga, Tanahashi, & Esashi, 1998), artificial muscles (Pfeiffer, DeLaurentis, & Mavroidis, 1999) and cochlea implants (Hagmann et al., 2015). Shape memory alloys (SMAs), well known smart materials, have become increasingly popular in various applications due to their ability to remember their initial shape. Their unique thermomechanical characteristics of pseudoelasticity, shape memory effect and biocompatibility have made them a suitable option to revolutionize many diagnostic and therapeutic biomedical tools (Morgan, 2004).

#### 1.2. Material modeling and characterization of shape memory alloys

Knowledge of SMA material behavior is critical for the design and development of devices in which actuation capabilities of SMAs are utilized. Material characteristics of SMAs are complicated due to the history dependent hysteresis relationships between the materials' stress, strain and temperature. A comparatively large recoverable strain of SMAs

is due to the transformation between two major internal phases known as martensite and austenite. Some constitutive models have been provided based on quasistatic assumptions in which the system's equilibrium at each step is required (Tanaka, Kobayashi, & Sato, 1986). Since the characteristic parameters such as transformation temperatures and Clausius-Clapeyron coefficients in these models are sensitive to manufacturing processes and heat treatments, they have to be found experimentally. The transformation temperatures are known as  $M_s$ ,  $M_f$ ,  $A_s$ ,  $A_f$ , where  $M$  represent martensite,  $A$  austenite, while subscripts  $s$  and  $f$  shows the starting and finishing point of the transformation process, respectively. One-dimensional (1D) thermomechanical and actuation properties of SMA wires were discussed in previous studies especially for actuation utilization (Honarvar, Konh, Datla, Devlin, & Hutapea, 2013; Konh, Honarvar, & Hutapea, 2013; Konh & Hutapea, 2013). Several research groups (Eaton-Evans, Dulieu-Barton, Little, & Brown, 2007; Liang & Rogers, 1990; Tanaka et al., 1986) have develop mathematical models to predict the SMA's response. Brinson (Brinson, 1993) developed a model that includes the transformation between twinned and detwinned martensite (different crystallographic shapes of martensite). This model was able to predict the SMAs' Pseudoelasticity and shape memory effects, simultaneously. Also privileging from non-constant coefficients this model provided an enhanced accuracy with respect to the previously developed models (Liang & Rogers, 1990; Tanaka et al., 1986). Brinson model was used in this study for its accuracy and consistency with our SMA wires. Prior to implementation of the model, the characteristic parameters were determined experimentally using three experimental setups: constant-stress, constant-strain and isothermal tests. The phase transformation diagram was then formed to predict the martensitic fraction at each step of actuation.

### 1.3. Advancements in medical devices using shape memory alloy components

The primary concept of active surgical needle (Figure 1-1) was suggested by (Konh, Datla, & Hutapea, 2014) where the feasibility of using SMA wires to actuate the surgical needles was shown. The SMA wires, i.e., Nitinol wires in our design, supply bending forces to the needle body to guide the needle through desired trajectories inside the tissue. The active needle provides several advantages such as improvements in accuracy to reach the target locations, avoiding critical organs during insertion and minimizing trauma to patients. However, before the active needle becomes a reality, there are several aspects that must be investigated. Many practical issues have been considered in this study which will lead to an appropriate design closer to the clinical use.

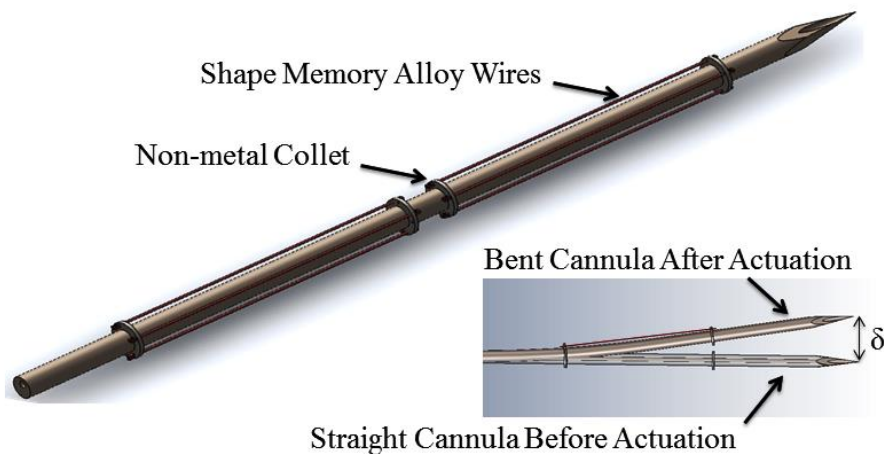


Figure. 1-1: Schematic of the proposed active needle design.

The success of many needle-based interventions such as brachytherapy, thermal ablation and biopsy highly depends on the accuracy of the needle placements at target locations. Therefore, improvement of the accuracy of needle placement has always been a point of interest; many groups have tried variety of options to activate the surgical needle for an improved precision. For example, Tang et al. (Tang, Chen, & He, 2007) used magnetic forces in order to help the navigation of the needle inside the body. Another

method was used by Ayvali et al. (Ayvali, Liang, Ho, Chen, & Desai, 2012a) utilizing pre-curved SMA wires on the needle body to provide external actuations. The wires, which were initially straight, transform to a pre-curved shape when heated. Similarly, Ryu et al. (Ryu et al., 2012) used internal laser heating of SMA wires to bend the needle. The nonlinear beam deflection via actuation of a SMA wire was studied by Shu et al. (Shu, Lagoudas, Hughes, & Wen, 1997). The electrical resistance and the fatigue behavior of SMA wires used as actuators have been studied by Meier et al. (Meier, Czechowicz, & Haberland, 2009). They developed a control loop based on the electrical resistance feedback. Mechanics of an active needle inside tissue was studied by Datla et al. (Datla et al., 2013) using an analytical approach. Also behavior of a surgical needle within the tissue and the consequent probable thermal damage due to the existence of heating elements were studied by Datla et al. (Datla, Konh, Koo, et al., 2014).

#### **1.4. History and background of design optimizations**

In previous optimization studies with SMAs (Kohl, Skrobanek, & Miyazaki, 1999; Kota, Hetrick, Li, & Saggere, 1999; Masuda & Noori, 2002; Troisfontaine, Bidaud, & Larnicol, 1999), the desired dynamic properties were found by optimizing the placement of a single wire component to eliminate the high stress regions. The active material optimization was done by (Main, Garcia, & Howard, 1994; Seeley & Chattopadhyay, 1993) using analytical and gradient based studies. In other works, design optimization of a system with a SMA spring was investigated by Dumont and Kuhl (Dumont & Kuhl, 2005) using genetic algorithm. To dampen the structural vibration, Ozbulut et al. (Ozbulut, Roschke, Lin, & Loh, 2010) optimized the installation of a SMA wire based on a genetic algorithm. A design optimization for an actuated robotic catheter was done by Crews and Buckner (Crews & Buckner, 2012). They implemented a free energy model (Heintze,

Seelecke, & Bueskens, 2003) into finite element analysis (FEA) package, COMSOL for their optimization strategies. Although their work covers many aspects of structural analysis, there are some limitations need to be addressed such as SMA's constitutive model and the computationally expensive run time that require improvements. To overcome these limitations, we present an automated optimization approach based on a simplified model that benefits from extensive experimental and numerical studies on SMA actuators. Moreover, the implementation of the isothermal stress-strain curves as material properties for the active components and showing a reasonable accuracy of the model are among the challenging tasks in this study.

### **1.5. Aims and scopes of this dissertation**

In this study, the optimized design of the active needle has also been presented. The past design and developments of systems consisting SMAs had been based on graphical design trial and error (the related discussion can be found in (Hartl, Lagoudas, & Calkins, 2011)). The inelastic transformation strain of SMAs as an independent quantity has not been implemented in such methods; therefore, a new empirical curve at each modified design configuration was required. The automated tools of the commercial software (ANSYS) have been used here to develop a predictive algorithm to assess the active needle response. The best design configuration was found using well-established methods and implementing appropriate tools. The novelty of our design optimization study lies on the incorporation of smart materials in our system. Prior to constructing an optimization algorithm, implementation of a constitutive model capable of predicting the inelastic strain response of SMAs is necessary. As the material properties of SMA wires can be different due to a different manufacturing process, the details of the constitutive model have been described so that other researchers can use it as a tool to model their particular active

components. The inelastic response of SMA wires with different diameters was first studied via both experimental and numerical approaches. This model was then used in optimization algorithm to perform a predictive analysis seeking the best configuration. The main aim of this study was not only to characterize the smart materials but also to provide a general methodology with all the tools required to study an active complex structure and suggest optimized solutions.

### **1.6. This dissertation is organized as described below**

This work is organized as follows: An overall view of all the methods used in this dissertation is introduced in chapter 2.1, followed by a discussion about the SMAs' constitutive model in chapter 2.2. Chapter 3 describes the whole materials and methods used for the diverse directions of this study. It starts with the experimental tests developed to characterize the actuators (chapters 3.1.1 to 3.1.4); then based on the parameters found in this step a MATLAB code was generated to predict the SMAs' response and their temperature profile, chapter 3.2 and 3.3, respectively. To show the feasibility of the active needle, a finite element model was developed in chapter 3.4; this model is validated by the prototype of chapter 3.5. As it was desired to optimize the design of the active needle, two parallel studies were performed simultaneously: (i) an experimental approach with the active needle prototype to study the design parameters (explained in chapter 3.6), and (ii) a numerical approach to iteratively optimize the design in an automated fashion by using computer tools (explained in chapter 3.7). After optimizing the design an advanced prototype was developed in chapter 3.8. This prototype privileges from the additional actuations of shape memory polymer (SMP) components, along with the SMA wires. To investigate how this device would interact within the tissue, an insertion test and a simulation of chapter 3.9 and 3.10 were developed, respectively. The results obtained from

each sections of chapter 3 are explained in chapter 4 along with discussions, validations and considerations of possible sources of errors. Finally, chapter 5 links all areas together, brings up the conclusions, and suggests the possible future works.



## CHAPTER 2

### GENERAL METHODOLOGY

#### 2.1. Analysis tools to optimize the active needle design

In this section engineering analysis tools used to accomplish the ultimate goal of parametric study and optimization of the active needle is discussed. Figure 2-1 shows schematically the methodology used for the first part of this study with the main objective of showing the feasibility of using SMA wires as actuators for the active needle. As illustrated in the figure the automated simulation process makes it possible to have an efficient assessment of the structural response of our design. The thermomechanical behavior of SMAs needs to be included in the analysis as they are the most important components of the structure. Three experimental setups developed to study the complex behavior of SMAs are discussed in detail in chapter 3.1.1, 3.1.2, and 3.1.3. Since different diameters of the wires show different characteristic parameters, these experiments were performed on every diameters of wires separately. Numerical and experimental studies on SMA wires prior to the finite element analysis ensured a coherent material model to be used in the FE model of the next step. The isothermal stress-strain curves obtained from a MATLAB implementation of Brinson model and provided as the material model for the

FE analysis. The detail of this constitutive model is explained in chapter 3.2. Birth and death method was used as a part of a three-step solution process in ANSYS.

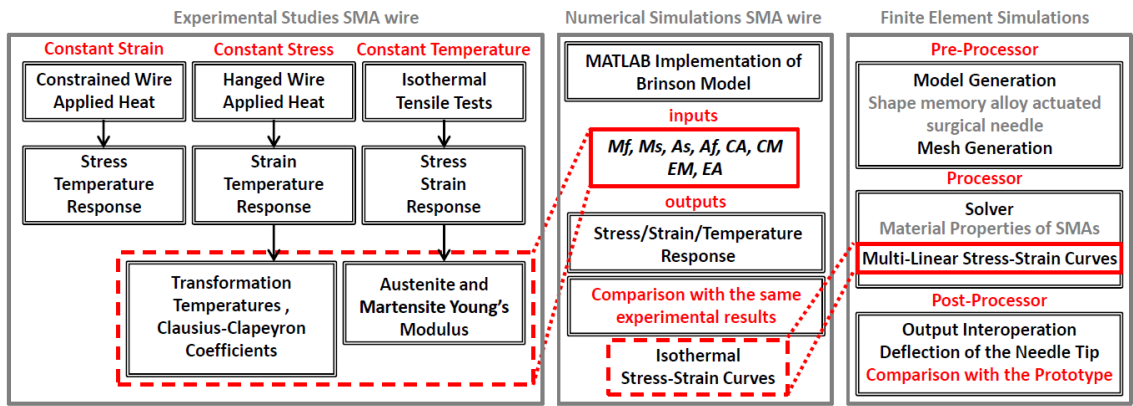


Figure 2-1: Engineering tools used to show the actuation capability of SMA wires in the active needle design.

The process of design optimization is shown schematically in Figure 2-2. This automated method of analytical structural assessment made the optimization of systems including active components more efficient. Our design objective targets the highest deflection of the active needle considering some limitations such as maximum stress, strain and elastic deformation of different components. Using this approach different design configurations were evaluated to come up with the best design. The iterative structural analysis was performed over the defined domain of design parameters considering constraints and limitations achieving the design objective. The ANSYS design optimization module was used for this objective that is capable of being linked to the ANSYS Parametric Design Language (APDL) module of the software where the FE model was generated and run the analysis automatically. Design of Experiment (DOE) was also studied to obtain an overview of possible configurations over the design space. The optimization process consists of an APDL input file with all design parameters and the necessary output parameters defined which was iteratively solved through the whole domain. The APDL

input file is being written by the optimization module, solved by ANSYS and then the output is being interpreted considering constraints and limitations which leads to the best possible design. The APDL input file should be constructed so that every design parameter has an assigned variable name, consisting run commands and capable of storing desired results. All the required results are being stored in an output files for further analysis. Iterations are finished when all the requested DOE analyses are performed or the convergence criteria is achieved.

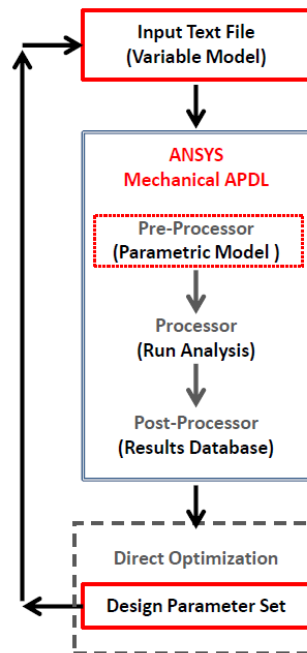


Figure 2-2: Analysis algorithm for design optimization, seeking the maximum steerability of the active needle.

## 2.2. Constitutive model: formulation and numerical integration

SMA's show two different behaviors known as (i) shape memory and (ii) pseudoelastic effects. The shape memory is its ability to recover a large residual strain by rising up the temperature whereas pseudoelasticity is its ability to resume a high amount

of strain upon unloading in a hysteresis loop. These effects are observed in different temperatures and loading conditions. Two major phases exist in these alloys which are known as austenite and martensite. Austenite is known as the parent phase, which only exists at high temperatures. Only by decreasing the temperature will result in a phase change into the martensite. The martensite phase exists in two different orientations which are known as twined and detwined, with respect to its multiple variants and twins. The phase transformation between martensite and austenite generally empower the SMA to recover a large amount of strain which is used for activating the needle device. The constitutive Brinson model is used to model the active needle and it is described in this section. Prior to Brinson, Liang and Rogers (Liang & Rogers, 1990) suggested the stress ( $\sigma$ ) to be related to three material functions: the modulus of SMA,  $D(\varepsilon, \xi, T)$ , the transformation tensor,  $\Omega(\varepsilon, \xi, T)$  and the thermal coefficient of expansion,  $\theta(\varepsilon, \xi, T)$  as shown in Equation 2-1. In this equation  $\varepsilon$ ,  $\xi$  and  $T$  are the green strain, the martensite fraction and temperature respectively.

$$d\sigma = D(\varepsilon, \xi, T)d\varepsilon + \Omega(\varepsilon, \xi, T)d\xi + \theta(\varepsilon, \xi, T)d\theta \quad 2-1$$

The material functions in Liang and Rogers (Liang & Rogers, 1990) formulation were assumed constant while the transformation kinetics was defined by a cosine function based on the Clausius-Clapeyron equation shown below. Equation 2-2 shows the relationship between temperature and transformation stress for an SMA material, where  $\varepsilon$  is the transformation strain and  $\Delta H$  is the enthalpy change between martensite and austenite phases at temperature  $T_0$ . Both phases should be in equilibrium under the stress  $\sigma$ .

$$\frac{d\sigma}{dT} = -\frac{\Delta H}{T_0\varepsilon} \quad 2-2$$

In Brinson model (Brinson, 1993), on the other hand, the martensite fraction was divided into two crystallographic shapes known as stress induced ( $\xi_s$ ) and temperature induced ( $\xi_T$ ) as shown below. The transformation function was modified accordingly.

$$\xi = \xi_s + \xi_T \quad 2-3$$

Finally assuming non-constant material functions, Equation 2-4 was modified by Brinson to suggest the constitutive material behavior for SMAs as follows.

$$\sigma - \sigma_0 = D(\xi)\varepsilon - D(\xi_0) + \Omega(\xi)\xi_s - \Omega(\xi_0)\xi_{s0} + \theta(T - T_0) \quad 2-4$$

Their mathematical definitions mean that they are functions of martensite volume fraction. Young's modulus,  $D$ , highly depends on the martensite fraction of the material (shown in Equation 2-5) where  $D_m$  and  $D_a$  represents the modulus of SMA with 100% martensite and 100% austenite, respectively.

$$D(\varepsilon, \xi, T) = D(\xi) = D_a + \xi(D_m - D_a) \quad 2-5$$

The material function,  $\theta(\varepsilon, \xi, T)$ , is assumed to be constant due to its relatively small value, while the transformation function was described as a function of martensite fraction (Equation 2-6) where  $\varepsilon_L$  is the maximum residual strain of the wire.

$$\Omega(\xi) = -\varepsilon_L D(\xi) \quad 2-6$$

Equation 2-4 and the transformation cosine function were used to find the behavior of our SMA wires in this study.

Input parameters to the Brinson model such as transformation temperatures and Clausius-Clapeyron coefficients (which are the slopes of the lines where transformation starts and ends) and the Young's modulus of austenite and martensite were obtained from experiments described in next section. The transition points on the strain-temperature response are the transformation temperatures at a particular level of stress. These tests were

done at ten different constant-stress levels; thereby, the rate of change of transformation temperatures with stress gives the Clausius-Clapeyron coefficients. Finally all these parameters were gathered to form the stress-temperature diagram (shown in Figure 2-3), describing the regions in which the transformation happens. Also a constant-strain experiment was also developed to have a reliable prediction on the response of the SMA by the model (chapter 3.1.2). Having the phase transformation diagram formed, we were able to define an external function in MATLAB for the phase transformation kinetics. The material properties for each diameters of the SMA wires were provided to the code from experiments. Then a marching approach was followed based on Equation 2-4 to fill the stress, strain and temperature matrices while the martensite fraction at each step was predicted by the external function. For our finite element model the constant stress, constant strain and isothermal stress-strain responses were desired. Therefore, while iterating on equation 2-4 our convergence criteria was to have one of these parameters to remain constant at all steps. The isothermal stress-strain curves then was used as material properties of SMAs for the finite element model as illustrated in Figure 2-1.

Understanding the resistance heating of the SMA wires is important because they are being used as actuators in our system. An iterative approach to estimate the variation of temperature in the SMA wires considering the major heat mechanisms such as environmental convection, resistance heating and latent heat difference due to the phase transformation can be found in studies by Terriault and Brailovski (Terriault & Brailovski, 2011). The energy generated in the wire is contributed by Joule heating and latent energy of transformation. Our experimental results at room temperature on a single SMA wire showed that 10 to 15 seconds was required to cool down from 70°C to room temperature (22°C) for different wire diameters.

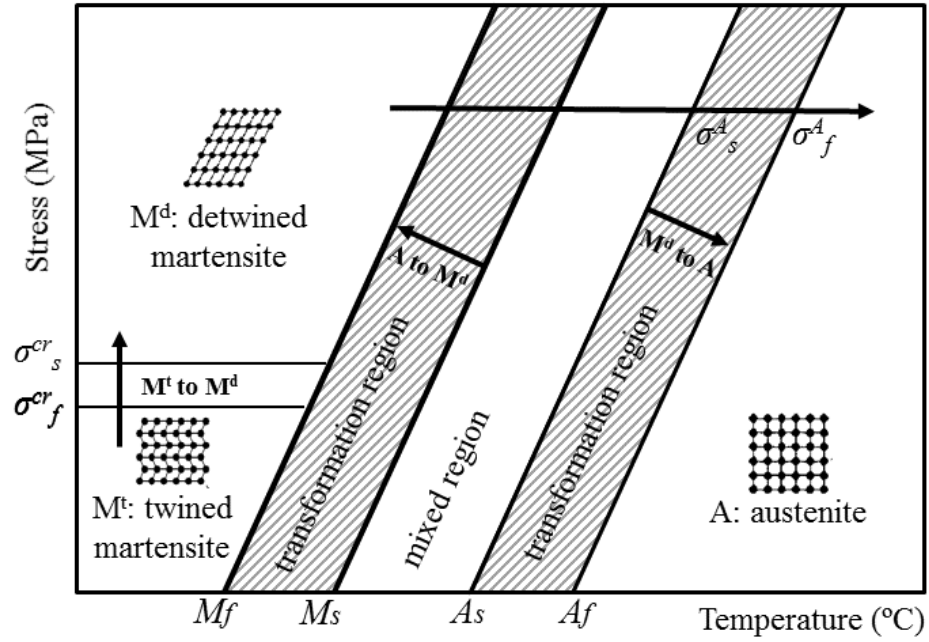


Figure 2-3: Typical phase transformation diagram of SMA wires.

## CHAPTER 3

### MATERIALS AND METHODS

#### 3.1. Experimental setups for finding the material constants of shape memory alloy wires

##### 3.1.1. Constant stress experiment

The configuration set up for the constant-stress experiment is shown in Figure 3-1a. The SMA wire was hung vertically under uniaxial tensile loading by attaching a weight hanger. The movements of the weight hanger were tracked by an attached Linear Variable Differential Transducer (LVDT) (HSD 750-500, Macro Sensor, Pennsauken, NJ) with a nominal range of  $\pm 12$ mm. The SMA wire was thermally activated, therefore contracting and moving the weight hanger upward. The SMA wire was activated thermally by Joule heating. The current was supplied as a ramp function using a programmable DC power supply (BK Precision 1696, Yorba Linda, CA). A bare 0.0762mm k-thermocouple (Omega Engineering, Stamford, CT) was attached to the top of SMA wire. The output signals of both the thermocouple and the LVDT were collected using SCXI-1321 terminal block (National Instrument, Austin, TX). To ensure complete austenitic transformation, the wires were heated up to 90°C. For each constant-stress level of each wire, three repetitive measurements were performed to ensure material stable behavior. Ten constant-stress levels were tested for each wire diameter to ensure sufficient data.



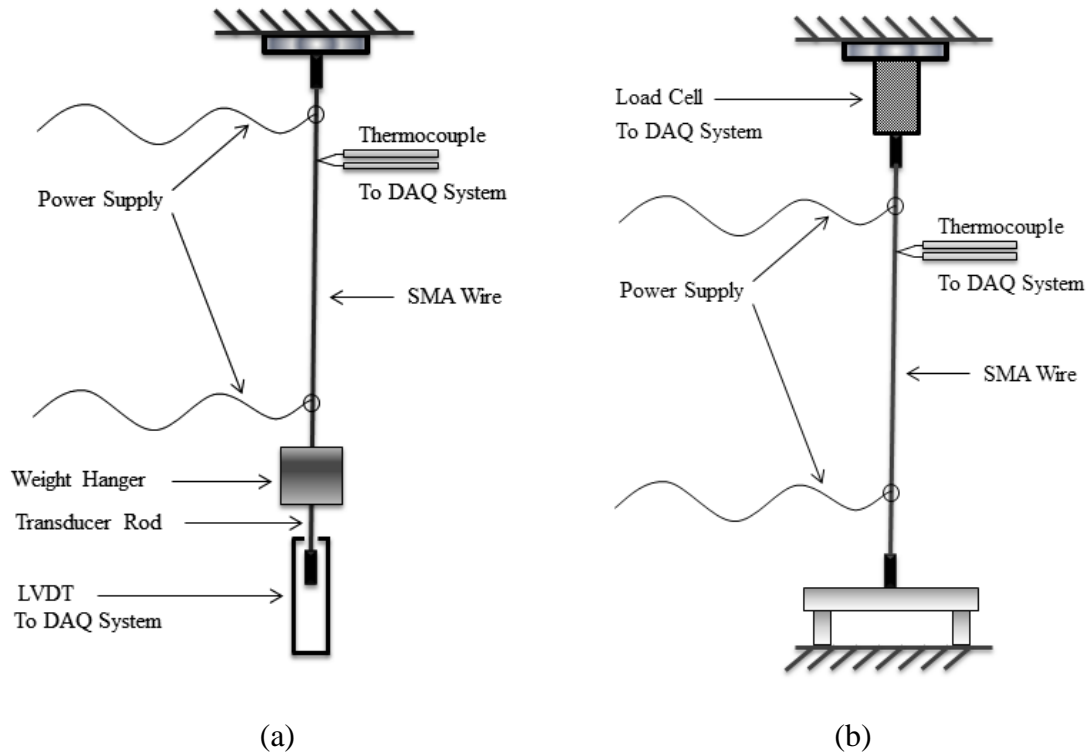


Figure 3-1: Schematic pictures of the experimental setup for (a) the constant stress and (b) the constant strain experiments.

### 3.1.2. Constant strain experiment

In our active needle design SMA wires were used for their actuation purposes. The actuation capability can be described analogously as a fixed end cantilever beam. When thermally activated, the actuator contracts due to its higher temperature and shorter length. As the actuator would be fixed at both ends by a collet in the design, a reaction (actuation) force would be generated. Therefore, a constant-strain experiment was conducted to simulate the “actuation force” response of SMA wires. The experimental setup is illustrated in Figure 3-1b. The SMA wire was activated using Joule heating by applying current as a ramp function. Force response of the SMA wire was measured using a 22.7N load cell

(Futek Advance Sensor Tech, Irvine, CA). The load cell signal was collected using SCXI-1314 DAQ system. Similar to the constant-stress experiment, wire temperature was measured using the k-thermocouple. A linear stage (Edmund Optics, Barrington, NJ) was used to set a specific pre-strain on SMA wires. The pre-strain was measured by setting a fixed distance between the two holders located at each end.

### **3.1.3. Isothermal stress-strain experiment**

Parameters required for the Brinson model such as transformation temperatures and Clausius-Clapeyron coefficients (the slopes of the lines where transformation starts and ends) were obtained from a constant stress experiment, described in chapter 3.1.1. The transformation temperatures at a particular stress level were determined from the transition points on the strain-temperature plots. These tests were done at ten different constant stress levels; thereby, the rate of change of transformation temperatures with stress gives the Clausius-Clapeyron coefficients. Also a Differential Scanning Calorimetry (DSC) test was performed to find the transformation temperatures at zero stress (chapter 3.1.4). Finally all these parameters were gathered to form the stress-temperature diagram which illustrates the regions at which the phase transformation happens. Further a constant strain experiment was developed to evaluate the model by comparing the SMA's force response (chapter 3.1.2). The other parameters needed for Brinson model are the critical stresses and the austenite and martensite Young's modulus which were found using the isothermal tensile tests. Also the critical stresses at which the transformation from martensite to austenite happens along with the Young's modulus of martensite and austenite were obtained from the isothermal tensile experiments. An Instron Mini-55 (Artisan Technology Group, Champaign, IL, USA) tensile machine was used to obtain the stress-strain response of the wires at room temperature (22°C). A 10N load cell was used to capture the force response

while the wires were loaded under a displacement control with a constant strain rate of 0.004mm/s. Before testing, the wires were ensured to be in detwined martensite through a heating and cooling cycle at a low stress.

Once the phase transformation diagram was constructed, our MATLAB model can simulate the stress-strain curves at different temperatures. These curves were implemented in finite element software using multilinear material properties. Loading and unloading part of each isothermal curve was defined by two separate material models. The loading part was used in the first step of the solution when the wire was under tension and then switched to the unloading part in the second and third steps when the tension pressure was removed and the wire was heated. As expected, transformation from martensite twinned to detwinned happened in the first two steps while martensite to austenite transformation was happening in the last step (wire contraction).

#### **3.1.4. Measurement of stress free transformation temperatures**

Differential Scanning Calorimetry (DSC) is the most common method to obtain the true zero stress transformation temperatures of Shape Memory Alloys. Samples are put into a small aluminum pan, then heated and cooled down at a constant rate. During these periods, DSC measures the heat flow of the samples due to material phase transformations. The experiments were performed using a DSC 2920CE machine (TA Instrument, New Castle, DE, USA). In these experiments, liquid Nitrogen was used as both the cover and purge gas. There were two sets of experiments performed. The first set of experiments was performed on wires in their as-received conditions. The second set of experiments was performed on wires that were previously annealed. ASTM-F2004-05 standard suggests that the SMA wire samples are annealed in an inert environment to avoid oxidation of the samples. Therefore, the wire was first cut up into smaller samples less than 5mm in length.

These pieces then were put into a small pre-made quartz tube (5mm x 7mm IDxOD). The pre-made quartz tube was sealed at one end. A vacuum pump, Maxima C Plus manufactured by Fisher Scientific (Waltham, MA, USA) was used to pump air and moisture out of the tube. The tubes with samples inside had to be vacuumed for a few minutes to ensure complete air removal. The pump suction hose had to pass through a buret submerged in liquid nitrogen to ensure complete moisture removal. The tube was then sealed using a high temperature glass burner.

### 3.2. Shape memory alloy modeling in MATLAB

Brinson model, one of the robust one dimensional constitutive models, was chosen to simulate the SMA wire behavior. This model is based on previous efforts of Tanaka (Tanaka et al., 1986) and Liang and Rogers (Liang & Rogers, 1990). Tanaka (Tanaka et al., 1986) first derived the constitutive relation for shape memory alloys using thermodynamic principles, Clausius-Duham inequality and Helmholtz free energy:

$$\sigma - \sigma_o = E(\xi)(\varepsilon - \varepsilon_o) + \Omega(\xi)(\xi - \xi_o) + \beta(T - T_o) \quad 3-1$$

where  $\sigma$ ,  $\varepsilon$  and  $T$  denote the current stress, strain and temperature, respectively.  $E(\xi)$ ,  $\Omega(\xi)$  and  $\beta$  are the Young's modulus, phase transformation tensor and thermoelastic coefficient, respectively. Their mathematical definitions mean that they are functions of martensite volume fraction,  $\xi$ . The subscript  $o$  indicates the initial values of each variable. Tanaka also proposed a phase transformation kinetic rule by using exponential functions. To overcome the limitation of Liang and Rogers model in describing shape memory effect at low temperatures, Brinson proposed to separate the martensite volume fraction into stress induced ( $\xi_s$ ) and temperature induced ( $\xi_t$ ) as follows:

$$\xi = \xi_s + \xi_t \quad 3-2$$

Due to the introduction of separation of different types of martensite, Equation 3-1 by Tanaka had to be re-defined. Following the same thermodynamics approach of Tanaka, Brinson derived a new constitutive relation, as mathematically defined in Equation 3-3.

$$\sigma - \sigma_o = E(\xi)\varepsilon - E(\xi_o)\varepsilon_o + \Omega(\xi)\xi_s - \Omega(\xi_o)\xi_{so} + \beta(T - T_o) \quad 3-3$$

where the Young's modulus and the transformation tensors were defined as:

$$E(\xi) = E_A + \xi(E_M - E_A) \quad 3-4$$

$$\Omega(\xi) = -\varepsilon_{max}E(\xi) \quad 3-5$$

$E_A$  denotes the Young's modulus of austenite and  $E_M$  the Young's modulus of martensite while  $\varepsilon_{max}$  is the maximum transformation strain, which was assumed to be constant for simplicity in implementation. Based on the kinetic transformation cosine function of Liang and Rogers, as well as the critical stress definition, Brinson redefined the kinetic phase transformation to accommodate newly defined  $\xi_T$  and  $\xi_s$  (Brinson, 1993). Other additive inputs in her model were  $\sigma_s^{cr}$  and  $\sigma_f^{cr}$  which are the start and finish critical stresses for detwinning process. The phase transformation kinetic was mathematically defined as:

For  $T < M_s$  and  $\sigma_s^{cr} < \sigma < \sigma_f^{cr}$ :

$$\xi_s = \frac{1-\xi_{so}}{2} \cos\left(\frac{\pi}{\sigma_s^{cr}-\sigma_f^{cr}}(\sigma - \sigma_f^{cr})\right) + \frac{1+\xi_{so}}{2} \quad 3-6$$

$$\xi_T = \xi_{To} - \frac{\xi_{To}}{1-\xi_{so}}(\xi_s - \xi_{so}) + \Delta T_\xi \quad 3-7$$

where, if  $M_f < T < M_s$  and  $T < T_o$ :

$$\Delta T_\xi = \frac{1-\xi_{To}}{2} \left( \cos\left(a_M(T - M_f)\right) + 1 \right) \quad 3-8$$

else:

$$\Delta T_\xi = 0 \quad 3-9$$

For  $T > M_s$  and  $\sigma_s^{cr} + C_M(T - M_s) < \sigma < \sigma_f^{cr} + C_M(T - M_s)$ :

$$\xi_s = \frac{1 - \xi_{so}}{2} \cos \left( \frac{\pi}{\sigma_s^{cr} - \sigma_f^{cr}} (\sigma - \sigma_f^{cr} - C_M(T - M_s)) \right) + \frac{1 + \xi_{so}}{2} \quad 3-10$$

$$\xi_T = \xi_{TO} - \frac{\xi_{TO}}{1 - \xi_{so}} (\xi_s - \xi_{so}) \quad 3-11$$

For  $T > A_s$  and  $C_A(T - A_f) < \sigma < C_A(T - A_s)$ :

$$\xi = \frac{\xi_o}{2} \left( \cos \left( a_A \left( T - A_s - \frac{\sigma}{C_A} \right) \right) + 1 \right) \quad 3-12$$

$$\xi_s = \xi_{so} - \frac{\xi_{so}}{\xi_o} (\xi_o - \xi) \quad 3-13$$

$$\xi_T = \xi_{TO} - \frac{\xi_{TO}}{\xi_o} (\xi_o - \xi) \quad 3-14$$

where  $a_M$  and  $a_A$  are mathematically defined as:

$$a_M = \frac{\pi}{M_s - M_f} \quad 3-15a$$

$$a_A = \frac{\pi}{A_f - A_s} \quad 3-15b$$

### 3.3. Temperature profile of the SMA wires

Since the actuators are activated using the resistance heating, it is important to study the actuators heat transfer mechanisms. Terriault and Braislovski (Terriault & Brailovski, 2011) proposed an iterative scheme to predict the variation of temperature in the actuators by considering the dominant heat transfer mechanism such as environmental convection, resistance heating and latent heat difference due to the phase transformation. The formulation is briefly summarized here. The energy generated in the wire ( $E_G$ ) is contributed by resistance Joule heating ( $E_{JE}$ ) and latent energy of transformation ( $E_{LH}$ ):

$$E_G = E_{JE} + E_{LH} \quad 3-16$$

with the resistance Joule heating defined as:

$$E_{JE} = Ri^2 \Delta t \quad 3-17$$

where  $i$  is the average current applied during the time increment and  $R$  is the resistance of the wire.  $R$  is given by the mixing law of the global phase volume fraction based on the electrical resistivity of austenite and martensite.

The latent heat of transformation can be calculated using the increment of the global volume fraction of martensite ( $\Delta \varepsilon_M$ ), the latent heat of transformation ( $Q_{PT}$ ), and the actuator volume. The actuator volume is a product of the wire cross sectional area ( $S$ ) and its length ( $L$ ).

$$E_{LH} = Q_{PT}SL\Delta \varepsilon_M \quad 3-18$$

The energy generated can be lost due to the convection ( $E_C$ ) or the energy stored in the wire ( $E_S$ ).

$$E_G = E_C + E_S \quad 3-19$$

The convection energy can be described as a function (Equation 3-20) of the actuator convection coefficient ( $h$ ), the length of the wire ( $L$ ) and also the perimeter of the wire ( $P$ ).

$$E_C = hLP(T_w + T_{AMB})\Delta t \quad 3-20$$

where  $T_{AMB}$  and  $T_w$  is the average ambient air temperature and the average wire temperature during a time increment ( $\Delta t$ ), respectively. Therefore, the wire temperature change during the time increment can be derived as Equation 3-21.

$$\Delta T_w = \frac{Ri^2\Delta t + Q_{PT}SL\Delta \varepsilon_M - hLP(T_w - T_{AMB})\Delta t}{\frac{hLP\Delta t}{2} + c_p dLS} \quad 3-21$$

### 3.4. Finite element analysis for structural behavior of the active needle

A 3D FE model of the active cannula (see Figure 3-2) was developed in ANSYS to predict the cannula's deflection while actuated by attached SMA wires. This model was

also used to investigate the effect of various design parameters on the cannula's deflection. The structure consists of two identical sections each 50mm long. The SMA wires were attached to the cannula through an 18mm diameter, 0.83mm thick stainless steel collet. The cannula's inner and outer diameter was  $D_{in}=0.88\text{mm}$  and  $D_{out}=1.59\text{mm}$ , respectively. Both cannula and actuator were modeled using SOLID65 elements. Material properties of the cannula were chosen to be linear elastic steel which is a usual material for surgical cannulas; though titanium and carbon-fiber are also used. This model was validated with the experiments done with a developed prototype which will be described in chapter 3.5.

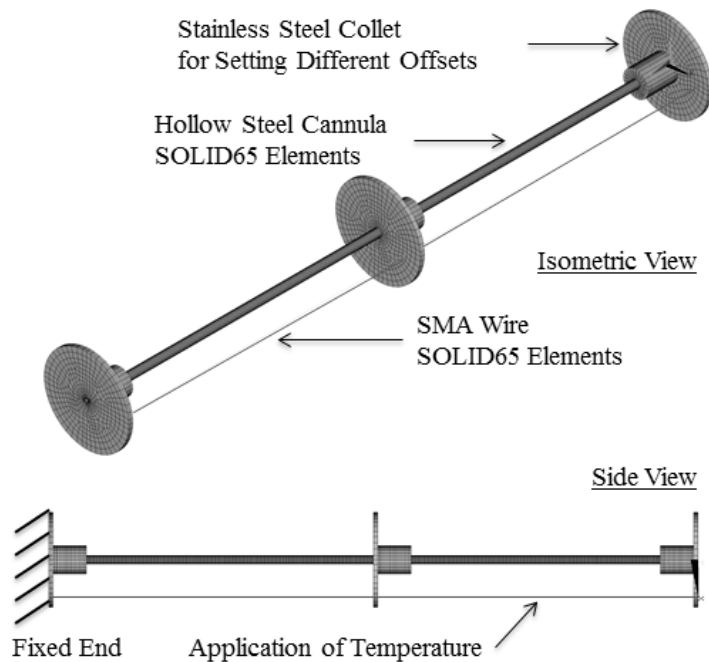


Figure 3-2: Geometry and mesh of a two-section active cannula modeled in ANSYS.

The maximum contraction (or the stroke length) of the SMA wire depends on its initial pre-strain condition prior to the actuation step. This pre-strain condition on SMA elements was achieved using the birth and death capability of ANSYS, which was implemented in three steps. First, a tensile pressure load was applied to the collet while all cannula elements were killed (removed from the structure) that resulted in tensile stress in



the cannula. Then the cannula elements were made alive (inserted back to the structure) and the tensile load was removed. The equilibrium position after the second step consists of tensile stress in the SMA wire and a small compressive stress in the cannula. Lastly, to actuate the SMA wire, the wire temperature was increased from room temperature (22°C) to 80°C (above the austenite finish temperature) that contracts the SMA wire and consequently bends the cannula.

Defining an appropriate constitutive material model for the SMA wire was a challenging part of this study due to the complex relationship between stress, strain and temperature. This complex behavior is due to the crystallographic transformation that happens under different loading conditions. Furthermore, since none of the commercial FE software supports the shape memory behavior of SMAs, the material model was simulated using isothermal stress-strain curves obtained from a MATLAB implementation of the Brinson model.

### **3.5. Prototype development**

Figure 3-3 shows the prototype of the cannula that was developed to validate the FE model. The structure consisted of a hollow steel cannula with inner and outer diameter of  $D_{in}=0.88\text{mm}$  and  $D_{out}=1.59\text{mm}$ , actuated by two FLEXINOL SMA wires (Dynalloy Inc., Tustin, CA, USA) attached to an 18mm diameter stainless steel collet in two sections. Additionally, five other prototypes were developed with different dimensions as shown in Table 3-1. The FE model was validated using the six manufactured prototypes. These prototypes also brought the privilege of investigating the real-time response of active cannulas with different geometries, stiffness and actuations.

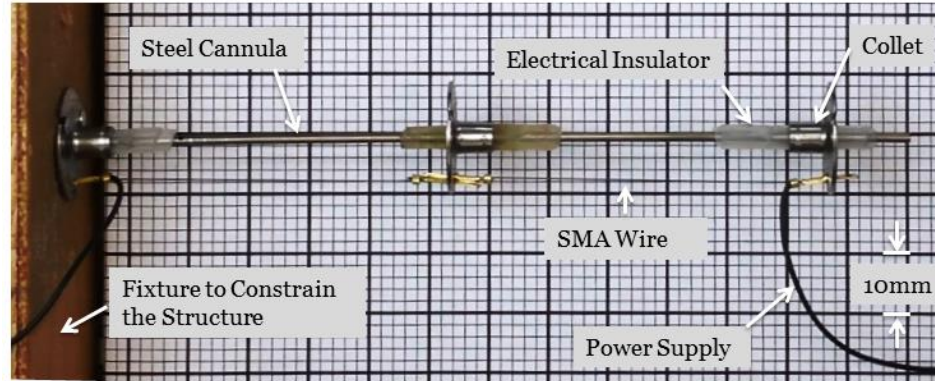


Figure 3-3: Experimental setup for measuring the deflection of the prototype.

SMA wires with diameters of 0.24 and 0.29mm were used for actuation purposes. The offset between the SMA wire and the neutral axis of cannula was set to 7.0mm for all the prototypes using a drilled hole on the holder. This offset was chosen as it was a convenient location on the holder to set the SMA's pre-strain condition and also to force the actuator to follow the desired loading path. This offset distance, however, is much larger than the allowable limit for clinical use, to address this point another design of prototype was developed with a much smaller offset distance; this new design will be introduced in chapter 3.8. The Joule heating method similar to the one described in the constant strain experiment was used here to actuate the SMA wire. The amount of deflection was quantified by taking pictures of the deflection with the background of a graph sheet, which was placed below the structure. The pictures were captured using a high speed camera (Fastec inline camera, Fastec Imaging, San Diego, CA, USA) and are then processed using the ImageJ software 1.45s (National Institutes of Health, Bethesda, MD, USA). The resolution of the image was 0.20mm/pixel. The stabilization of the actuator in the cannula's lumen is important as the positioning can cause an uncontrollable behavior. The out of plane movement of the cannula was minimized by having completely fixed and precise attachment of the actuators on the holders. In this work, pictures taken from sideways showed negligible out of plane deflections, while the deflection of the active

cannula was controlled by the amount of current applied. Also the same prototypes of active needles were repeatedly tested in a closed-loop control system, explained in (Orlando et al., 2014) to show a precise and consistent response of our design.

Table 3-1: Different prototypes used in this study (all dimensions are in mm).

Prototype	Cannula $D_{in}/D_{out}$	SMA Diameter/Length	# of Sections	Total Length	Cannula Moment of Inertia
P1	0.88/1.59	0.24/100	1	100	0.284
P2	1.67/2.38	0.24/100	1	100	1.192
P3	2.46/3.18	0.24/100	1	100	3.220
P4	0.88/1.59	0.29/100	1	100	0.284
P5	0.88/1.59	0.24/50	2	100	0.284
P6	0.88/1.59	0.24/50	1	50	0.284

To improve the maneuverability of the actuated cannula it was necessary to consider the effects of various influencing parameters such as the cannula's Young's modulus, the SMA wire's pre-strain and its offset from the neutral axis of the cannula. The FE model described above was used to study the effect of the design parameters on cannula's deflection. Parametric studies were done with various FE models, where the range of 70 to 200GPa was selected for the cannula's Young's modulus while actuated by SMA wire of diameters of 0.20, 0.24 and 0.29mm, 1 to 6% for SMA's pre-strain and 3 to 7mm for the offset values.

### 3.6. Design parameter study

Figure 3-4 shows the variation in the deflection of the cannula ( $D_{in}=0.88\text{mm}$  and  $D_{out}=1.59\text{mm}$ ) actuated by 0.20, 0.24 and 0.29mm SMA wires as a function of cannula's Young's modulus. These diameters of the SMAs were selected because the amount of force generated by them was enough to bend the structure. Since transformation temperatures and Clausius-Clapeyron coefficient vary with wire diameter, for studying each wire these parameters were determined experimentally and then used to obtain the appropriate isothermal curves. On the other hand, the actuation response time of the prototype was found to differ using different wires.

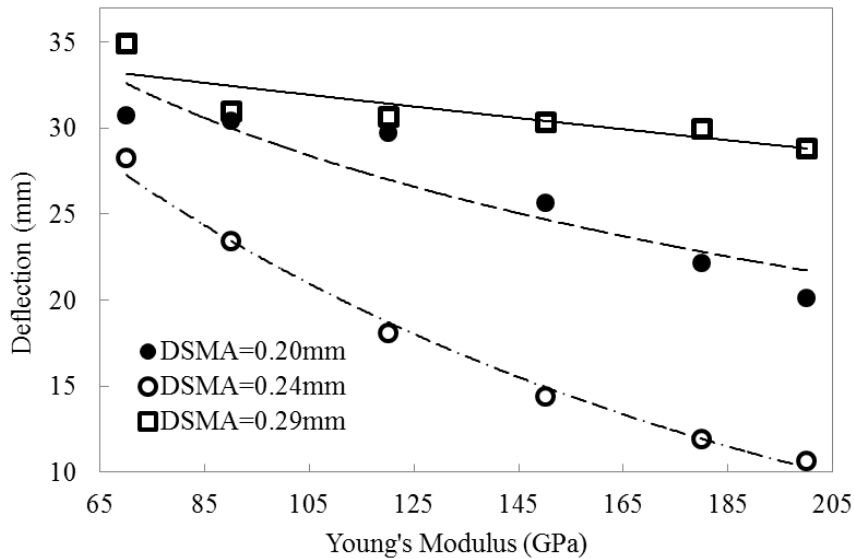


Figure 3-4: Deflection of cannulas of different Young's modulus.

Figure 3-5 shows how the cannula deflection is affected by SMA's pre-strain and its offset from the neutral axis of the cannula. The aluminum cannula with  $D_{in}=0.88\text{mm}$  and  $D_{out}=1.59\text{mm}$  actuated by 0.29mm SMA wire was used here. It can be seen that, as expected, as pre-strain on the wire prior to the actuation increased the deflection increased. Increasing pre-strain provides the SMA wire the capability to contract more when actuated with applied current. Figure 3-5 also shows the effect of offset between the SMA wire and

the cannula on the deflection. It was observed that the deflection increased as the offset and the applied moment increased. The observed nonlinear relationship between the deflection and the applied moment was the nonlinear path that the SMA wire was following in the isothermal curves while placed at different offsets from the cannula. Increased resistance on the SMA wire caused higher stress on the wire and consequently lower strain.

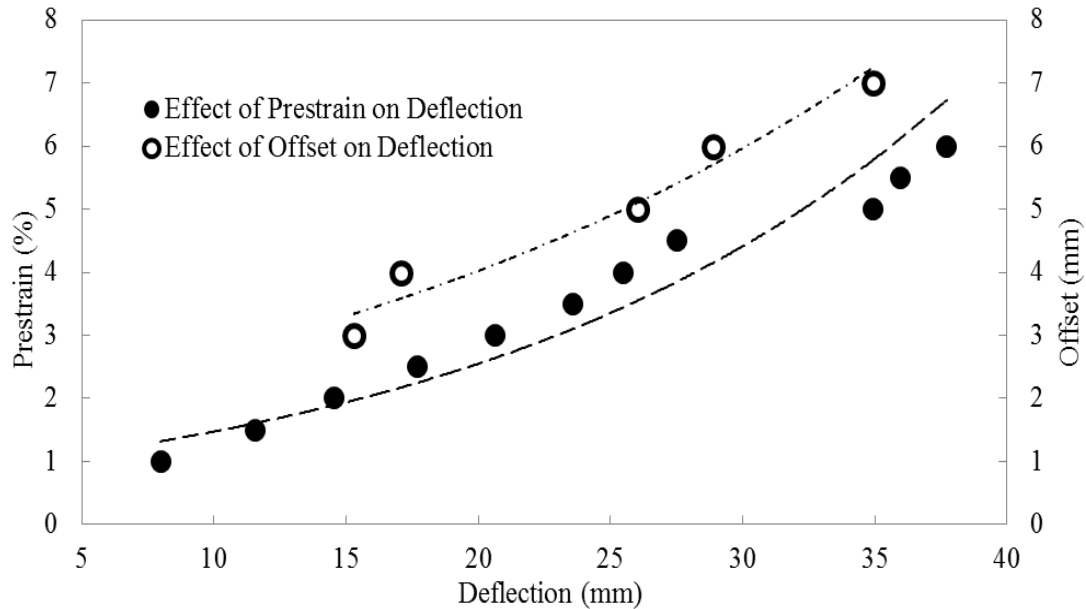


Figure 3-5: The effect of SMA's pre-strain and its offset from the neutral axis of the cannula on the maximum deflection.

### 3.7. Optimization process

#### 3.7.1. Optimization of the active needle design

Design optimization of structures with active materials can be a challenging endeavor. Iterative analysis tools presented in chapter 2.1 (as illustrated in Figures 2-1 and 2-2) were utilized here to aid the design process. Several design variables were taken into consideration to accomplish this task. A 100mm long SMA wire attached to the needle

with a stainless steel holder was considered as the initial configuration. In order to have a lower computational time in the structural iterative assessments, the simplified model (presented in chapter 3.7.2) was used instead of the complete FE model (presented in chapter 3-4). Moreover, the simplified model provides global results of deflections and forces with reasonable accuracies. Table 3-2 lists the deflection of the active needle predicted by the simplified model compared with the first prototype. It is also shown that the results of the FE model with nonlinear stress-stress curves are very close (with less than 3% deviation) to the simplified model. It should be noted that only the final deflection of the structure can be trusted with the simplified model since the nonlinear hysteresis response of SMAs cannot be predicted by the thermal expansion coefficient defined in this method. Assigning one dimensional element to SMA wire can lead to some degree of errors on the amount of stress; therefore a 100% safety factor was considered to avoid the plastic deformation. The objective in our design study was to achieve the maximum possible needle tip deflection to ensure the maximum flexibility while constraining the stress of SMA wire to be less than a critical level. The input design variables selected were as follow:

$\epsilon_L$ : maximum residual strain of SMA wires with different diameters

$D_{SMA}$ : SMA wire diameter

$D_{outcannula}/D_{incannula}$ : cannula's outer/inner diameter

offset: the offset distance between the neutral axis of cannula and SMA wire

$D_{outholder}/D_{inholder}$ : outer/inner diameter of the holder

th: the thickness of the holder

L: total length of the cannula

$L_1$ : holder length

The total deflection of the needle tip ( $\delta_{tip}$ ) and the maximum stress ( $\sigma_{max}$ ) of all elements were taken as desired output variables. The baseline design point and the range of variation of each parameter which were used in our goal driven optimization study are stated in Table 3-2. Starting from the initial baseline design point we sought the maximum needle tip deflection with the constraint that the SMA's maximum stress must be lower than 150MPa. This optimization task was done using two approaches: Design of Experiments (DOE) and Multi-Objective Genetic Algorithm (MOGA). It should be noted that the selected bond for the offset makes the overall scale of the needle much larger than the conventional needles (which are in the range of 18 Gauges ~ OD=1.27mm). In order to have a needle this small, another method for attaching SMA wires is preferable. Therefore another design was introduced by the same authors (Datla, Konh, & Hutapea, 2014a) to eliminate the collet component completely. In this work however, the effect of offset along with the other effective design parameters is discussed.

Table 3-2: Parameters used for optimization study.

Input Parameter	Initial Design Point	Lower Bound	Upper Bound
$\varepsilon_L$ (%)	5.00	4.50	5.50
$D_{SMA}$ (mm)	0.20	0.08	0.30
$D_{outcannula}$ (mm)	1.50	1.30	2.00
$D_{incannula}$ (mm)	1.00	0.50	1.29
$D_{outholder}$ (mm)	15.0	10.0	20.0
$D_{inholder}$ (mm)	5.0	4.5	6.0
<i>offset</i> (mm)	7.00	2.00	8.00
<i>th</i> (mm)	1.00	0.90	1.10
<i>L</i> (mm)	100	80	120
$L_1$ (mm)	1.00	0.90	1.10

The DOE task, which is a non-iterative direct sampling method, was performed by choosing 100 random possible configurations by ANSYS. Once this task was accomplished, a large collection of samples and a response surface based on the objectives and constraints was obtained providing a global overview.

The MOGA study, available in ANSYS, was also performed that provided a more refined approach to find the best design configuration. This optimization algorithm started with the initial design point (shown in Table 3) and iterated through the whole domain with the samples evolving genetically until the best case was found.

### 3.7.2. Thermal expansion method as a simplified FE model

A simplified approach was used to develop a FE model of the SMA actuated needle. In this approach the strain response of the SMA wire was approximated while thermally actuated above austenite start temperature. To have a good approximation the constant-stress experiment described above was repeated for different stress levels to find the contraction range of the wire (shown in Figure 3-6 for 0.20mm diameter SMA wire). This strain response of the wire was estimated by defining the thermal expansion coefficient,  $\alpha$ , as shown in the Equations 3-22 and 3-23. This value of  $\alpha$  was producing the same strain response as the wire temperature rises from  $A_s$  to  $A_f$ . FE model with the same geometry and dimension as described above was used in this approach. Element BEAM188 and SOLID185 were used for the wire and the cannula, respectively.

$$\alpha = \frac{H}{A_s - A_f} \quad 3-22$$

$$\alpha = -0.0096^\circ\text{C}^{-1} \quad 3-23$$



The negative sign in Equation 3-23 shows that by increasing the temperature above  $A_s$  the material goes through the transformation to austenite phase which leads to the negative strain because of the smaller crystallographic shape.

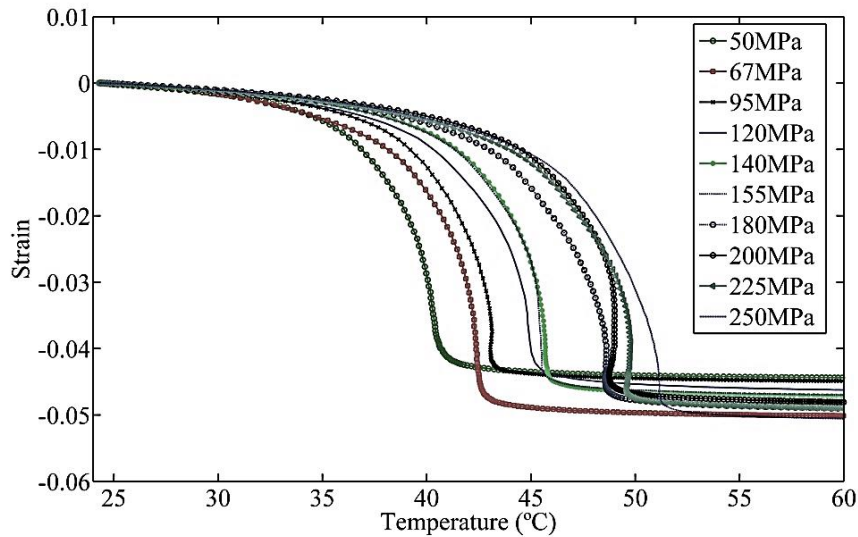


Figure 3-6: Strain response of 0.20mm SMA wire under different constant stresses.

### 3.8. Real size prototype of the active needle

Our proposed design of the active needle includes two concentric steel tubes, which are connected by a flexible component. Figure 3-7 shows the active needle of outer and inner diameter of 2.05mm and 1.70mm, respectively. The tube outer diameter is equivalent to 14G devices used in prostate brachytherapy procedures. The shape memory alloy wire (our actuator in the design) was attached to the needle by passing through drilled holes on the body of the needle at one end, and fixed by using a crimp at the other end. Shrinking plastic tubes and super glue (Loctite 415, Henkel, EU) were used to prevent the SMA wire from sliding during its contractions due to the applied current. Contraction of about 5% of the SMA wire (Flexinol from Dynalloy Inc., Tustin, CA, USA) along with its

biocompatible properties make it a suitable candidate for our actuator component. The SMA wire with the diameter of 0.20mm was selected.

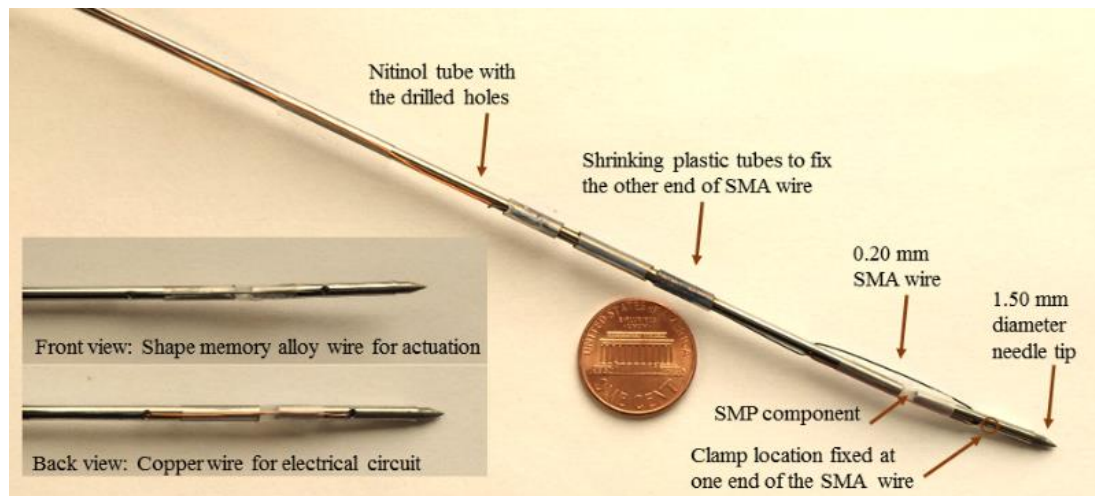


Figure 3-7: Prototype of the active needle using activation force of both SMA and SMP.

There are two major tasks expected from the flexible connector: (i) to provide an electrical insulation between the two segments of the needle to prevent a short cut circuit, and (ii) to act like a joint type connection in the needle system. The nylon connector, if chosen as the connector component, would be unable to recover its original shape after each trial therefore it had to be manually altered to be brought back to its initial shape. Using the SMPs as suggested by this work would help recover the structure after each round of activation. The SMP's recovery is due to an applied heat above its glass transition temperature ( $T_g$ ).

### 3.8.1. Shape memory polymer as an additional active component

In this work, it is specifically aimed to incorporate an additional active component made of shape memory polymer (SMP) for the prototype. The unique capability of the

SMP to recover its shape at temperatures higher than its glass transition temperature is aimed to be used to get the needle structure back to its original shape after each actuation stage.

SMPs could be made by different chemical structures and crosslinking densities. A challenging task incorporating SMPs in active needle is to control its temperature while heated. Different concentrations of SMPs show different glass transition temperatures and thereby requires in depth investigations. In the current work, accurate temperature measurements have been presented, while the force measurements of different types of SMPs are left for future studies.

The primary actuation component in our design is the shape memory alloy wire, where the main bending forces are provided for the needle. However, we are aiming to utilize the shape memory polymer as a secondary active component. Two different types of SMP were used in this work for the joint connection with different concentrations: (i) containing approximately 70% butyl methacrylate (BMA) 30% Poly(ethylene glycol) dimethacrylate (PEGDMA), and (ii) 50%BMA and 50% PEGDMA. These two types were selected for our needling system for their considerably high stiffness and suitable glass transition temperatures. However, the glass transition temperatures, for the SMP, is tunable to some extent. The glass transition temperature is the most significant characteristic parameter that has to be found prior to performing the SMP's actuation capabilities. A Differential Scanning Calorimetry (DSC) test was performed to obtain the transition glass temperatures of the SMP component. Samples were put into a small aluminum pan, then heated and cooled at a constant rate. During heating and cooling, the heat flow of the samples due to phase transformations was measured. The experiments were performed using a DSC 2920CE machine (TA Instrument, New Castle, DE). Liquid Nitrogen was used as both the cover and the purge gas. Also, as another way to determine the glass

transition temperature, while heating the SMP component, its temperature was captured carefully using attached thermocouples and an infra-red camera; then the real-time videos were analyzed to determine the moment of actuation as will be described later on.

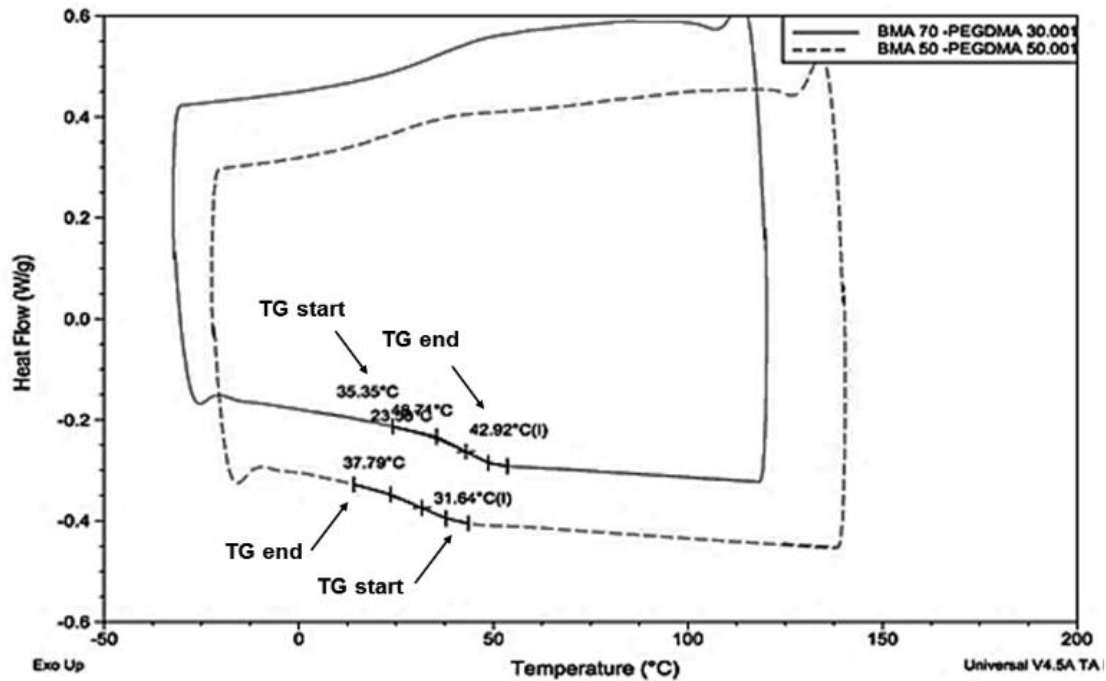


Figure 3-8: DSC results for the SMPs with BMA:PEGDMA concentrations of 70:30 and 50:50.

Results of the DSC test is shown in Figure 3-8 for SMPs with BMA:PEGDMA ratio of 70:30 and 50:50. The transition temperatures for the SMP of BMA:PEGDMA ratio of 50:50 was starting and ending at 31.64°C and 37.79°C, respectively; while for the SMP with ratio of 70:30 the transition temperatures of 35.35° and 42.92°C were reported.

An active prototype shown in Figure 3-9 was then made in order to evaluate the feasibility of incorporating the SMP as another actuation component for the needle structure. The prototype consists of two steel tubes with inner and outer diameter of 1.70mm and 2.05mm, respectively connected with a SMP component of the matching size.

To heat the SMP element, a partially stripped copper wire was wrapped around the joint as to pass an electrical current using a DC power supply (BK Precision 1696, Yorba Linda, CA, USA). Figure 3-9 shows the recovery of the SMP structure after an induced deformation with an applied current of 0.70A. The temperature of the SMP during actuation was captured by using an infra-red camera (FLIR Systems, Inc., Wilsonville, OR, USA) and an attached k-thermocouple (Omega Engineering, Stamford, CT, USA).

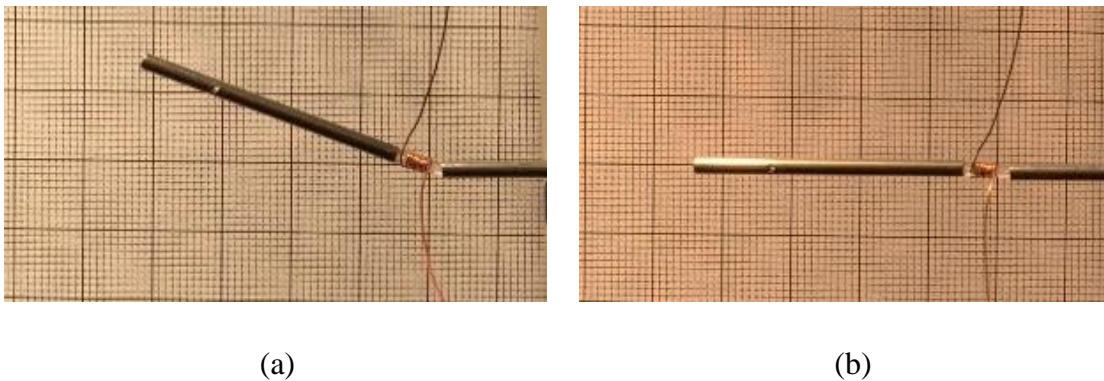


Figure 3-9: Active needle prototype built by the connected steel tubes using the 70:30 SMP: (a) the bent position by the angle of 25° and (b) the recovered initial configuration after heating above  $T_g$ .

### 3.8.2. Measurements of the glass transition temperatures

Figure 3-10 shows the temperature of the SMP captured by the infra-red camera while heat was supplied through the copper wires. The top row shows the 70:30, while the bottom row shows the 50:50 SMP. The prototypes were bent by 25° (Figures 3-10a and c) and were recovered to its initial position after a complete shape recovery of the SMP component (Figures 3-10b and d). The maximum temperature in the square box, shown in the figure, was detected and considered as the SMP's temperature. For the 70:30 SMP component 0.70A of current was sufficient for the complete recovery of the structure, while

for 50:50 component 0.50A of current was enough. It should be noted that application of higher currents should be avoided as the SMPs reduce stiffness and thereby not strong enough to hold on to the structure. However, this behavior is aimed to achieve a higher, more flexible deflection during actuation by the SMA wire.

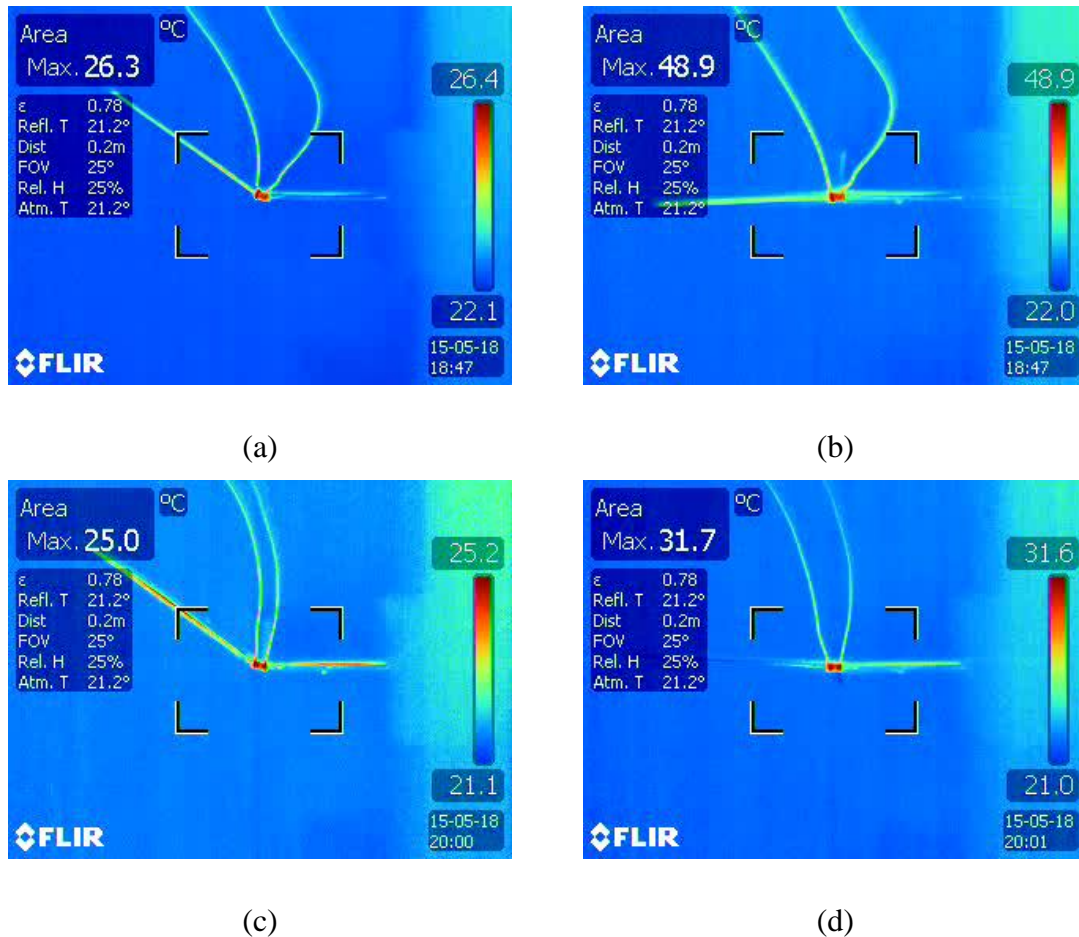


Figure 3-10: Temperature of the SMP captured by the infra-red camera for ((a) and (c)) the bent position prior to the actuation, and ((b) and (d)) the recovered position after applying heat. The BMA:PEGDMA concentrations for top row is 70:30, and bottom row is 50:50.

The initial movement of the structure was also recorded by analyzing real-time videos obtained by the infra-red camera (shown in Figure 3-11a and b for 70:30 and 50:50

SMPs, respectively). The glass transition temperatures were found to be 28.4°C and 36.2°C for 70:30 and 50:50 SMPs. These values were found comparable with the transition temperature predicted by the DSC results.

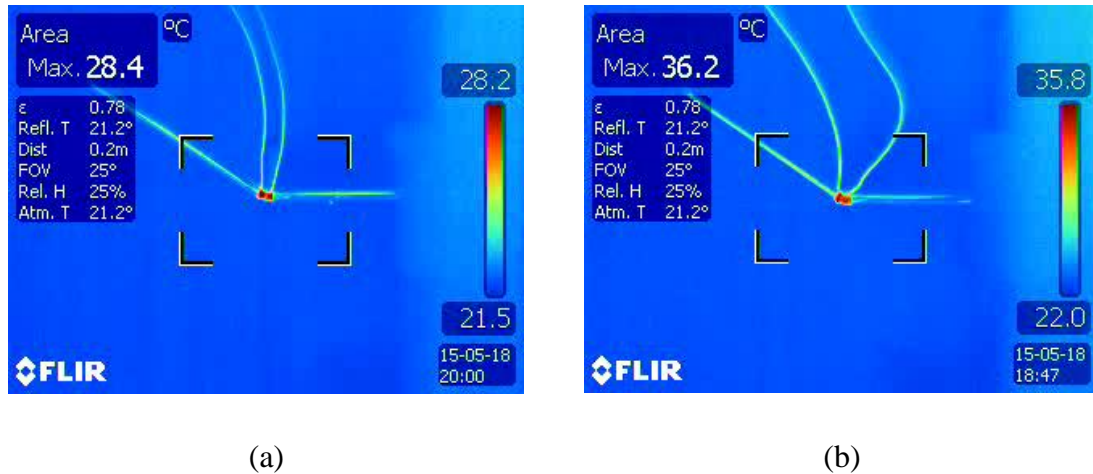
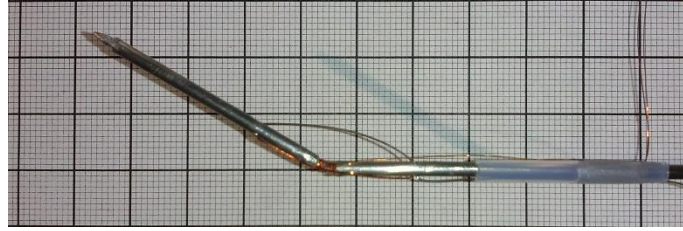


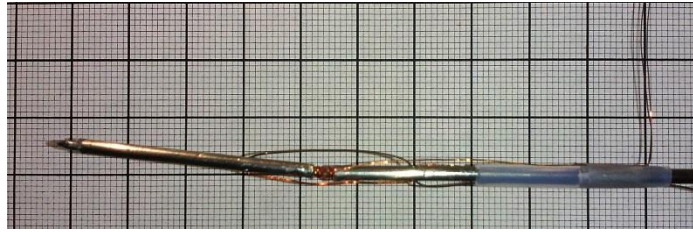
Figure 3-11: The SMP temperatures at which the actuation starts for BMA:PEGDMA concentrations of: (a) 70:30, and (b) 50:50.

### 3.8.3. Prototype experimentations

The deflection of the prototype is shown in Figure 3-12. The SMP was heated above its transition temperature to provide a more flexible joint. By using this method the amount of force required to get the needle deflected by some amount would be less and therefore a more dexterous response would be seen. Compared with the Nylon connector, the deflection was much higher since the flexibility of the reduced stiffness SMP was higher. Figure 3-12a shows the deflected active needle due to the application of 0.80A current to the SMA wire, while Figure 3-12b shows the recovered configuration after the SMA is cooled to room temperature. For a total recovery SMP was cooled and heated in an additional cycle to get to the exact initial position. The curved shape of the active needle prior to the actuation is due to the pre-strain condition of the SMA wire.



(a)



(b)

Figure 3-12: (a) Deflected shape of the active needle due to the actuation of the SMA wires while the SMP component is heated above its glass transition temperature, and (b) the recovered initial position of the active needle.

### 3.9. Needle insertion experiment

Figure 3-13 shows the needle insertion setup developed to validate the FE simulations. The phantom material was made of Plastisol gel (M-F Manufacturing Co., Ft. Worth, TX, USA), where the elastic modulus of the phantom could be controlled by the compositions of polyvinylchloride suspension and softener. As it was shown in studies of Misra et al. (Misra, Reed, Schafer, Ramesh, & Okamura, 2010) changes in the volume ratio of plastic to softener from 3:1 to 8:1 increases the elastic modulus from 22.29 to 45.24kPa. In this study, Plastisol was prepared with 3:1 ratio of plastic to softener. The elastic modulus of the phantom was measured by an indentation test shown in Figure 3-13. The load frame was made to have the spherical 10mm diameter indenter normal to the surface of the phantom which was laid unconstrained on the table. The indentation test was done



to the depth of 4mm and speed of 1mm/s followed by unloading to the original position at the same speed. The initial moment of contact was captured prior to running the test by tracking the force response using a 5N load cell while the indenter was advancing by increments of 4µm. Then the elastic modulus was calculated using Oliver-Pharr method (Oliver & Pharr, 1992) from the slope of fourth degree polynomial fit at the start of the unloading curve by the equation below.

$$\frac{dP}{dh} = \frac{2}{\sqrt{\pi}} \sqrt{A} \frac{E}{(1-\nu^2)} \quad 3-23$$

In this equation  $\nu$  is the Poisson's ratio that is assumed to be 0.49, E is the Young's modulus and A is the area of contact based on the equation below suggested by Herzian (Hertz H. R., 1882).

where  $\delta$  is the indentation depth and R is the indenter's radius.

Insertion tests were done with bevel-tipped needles made of spring steel having bevel angles of 29.25°, 32.73°, and 32.04° for needle diameters of 0.38, 0.51, and 0.64mm, respectively. A sharp bevel-tip free of burrs was achieved by embedding the spring steel wire at an angle in Crystalbond™ (Aremco Products, Inc., Valley Cottage, NY, USA) mounting adhesive followed by polishing the assembly. After polishing, the needle was removed from the adhesive and then cleaned with Crystalbond™ stripper.

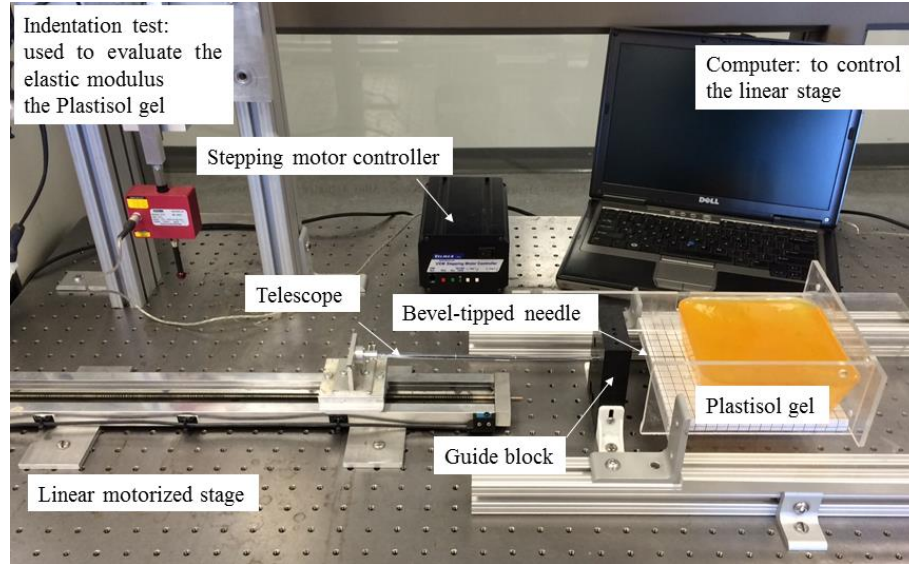


Figure 3-13: The experimental setup used: (i) to measure the elastic modulus of the phantom, and (ii) to robotically insert the needle inside the phantom.

Needles were fixed at one end to the linear stage where the motion was controlled and at the other end next to the tissue using a guide block. Similar to what was seen in the model, buckling was very probable in the experiment as well. Even before insertion a small buckle was observable due to the needle's weight. The buckling was very likely to happen at the early stage of insertion when the needle tends to puncture the tissue. So additional support was provided using a telescope support that kept the needle straight during the whole insertion period. These needles were inserted into the plastisol gel at a constant typical insertion speed of 2.5mm/s by attaching the needle to a motorized linear stage (Velmex Inc., Bloomfield, NY, USA) of 6 $\mu$ m resolution.

### 3.9.1. Effect of needle geometry on final deflection

Three cases including three different diameters of the needle each with five different bevel tip angles were developed to study the effects of needle diameter and its bevel angle on the final deflection. Needles of diameters 0.38, 0.51 and 0.64mm were

selected with the bevel angle ranging from 20° to 60°. The effect of having various interacting areas at the tip was studied through this parametric study. The study can also be used for optimization purposes where the maximum flexibility of the needle is aimed or in the applications for selecting a suitable design of the needle for a certain task. The results of this study are presented in section 4.8.2.

### **3.10. Needle insertion simulation**

#### **3.10.1. Fluid-structure interaction formulation**

As of the preliminary step of the needle insertion simulation, the appropriate fluid-structure interaction formulation has to be explored. The main challenge in this category of simulations is to carefully consider the solid elements of the needle penetrating the fluid elements of the tissue. In this study the geometry and mesh of the needle and tissue was generated in LS-DYNA (LSTC, Livermore, CA, USA) software. The cylindrical shape of the needle modeled by rectangular elements increases the complexities due to large number of required elements. The tissue was modeled as a rectangular cube to allow the overall insertion depth that is desired. Surrounding the tissue, a void part was modeled to provide an empty space for the transferred mass of the tissue while pushed by the needle movement. The needle was considered penetrating and bending inside the tissue with a constant velocity.

In this category of simulations where elements of small size and of high Young's modulus are incorporated, the computational time is expected to be high. In our penetration model a very stiff material was used for the needle along with a soft material for the tissue. In the meantime, the size of element representing needle had to be chosen much smaller than the tissue elements because of the small cross-section and the high length of the

needle. Therefore, in this model we have needle elements of high aspect ratio and stiffness along with the soft elements of the tissue. These are among the factors that make the simulation computationally expensive, especially when modeling for a long insertion depth. In order to reduce the computation time without sacrificing the results, minimum possible number of needle elements was modeled.

Tissue-needle interactions were simulated using a Lagrangian method with a penalty contact algorithm (*LSTC. LS-DYNA Theory Manual Version 970. r:6030, n.d.*). The coupling algorithm is the communication between the solid elements of the needle and the fluid elements of the tissue. Needle insertion in tissue was simulated using the Arbitrary-Lagrangian-Eulerian (ALE) formulation available in LS-DYNA. The elements that represent the soft tissue were the ALE elements. Using this method, penetration or deformation of the needle does not result in large distortion and instability of the soft tissue elements. The reason is that in ALE type of formulation, nodes do not follow the material flow and instead the elements are being shaped in a way to avoid the highly twisted elements. On the other hand due to the flux of material between elements, the governing equations are in more complicated forms. The hourglass option was turned on to avoid zero energy modes on elements while solving for the internal forces. The time step was selected smaller than a particular value selected by the software for the defined geometry and material properties. Strain increment was also defined equal to the strain rate times the time step size. Using these parameters for formulation the results of needle insertion were obtained.

### **3.10.2. Real-size insertion model**

By setting all the parameters to control the ALE formulation as explained in section 3.10.1, the needle insertion model was developed to simulate the whole insertion depth.

The goal here is to demonstrate that the model represents the needle insertion experiment with reasonable accuracy. This model will be used to study several parameters involved, which for reasons such as limitations in ex-vivo and in-vivo experiments, might be difficult or costly to investigate.

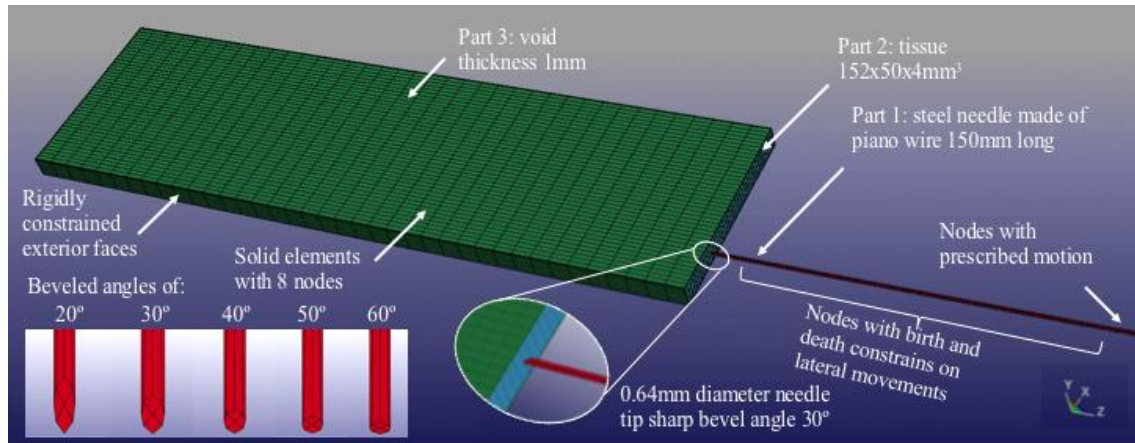


Figure 3-14: Geometry, mesh, initial and boundary conditions for the needle insertion simulation.

A 0.64mm diameter, 150mm long needle with a bevel tip angle of 30° was selected to penetrate a tissue modeled as a box of 50x152x4mm<sup>3</sup>. The 8-nodes Solid elements were chosen for both tissue and needle. A void part of the thickness of 1mm was also modeled surrounding the tissue as shown in Figure 3-14 (part 3). The total number of elements of 244, 12464, and 4914 was used in the needle, the tissue, and the void part, respectively. All nodes located on the exterior face of the void part were rigidly constrained. For this model the unit system of Kg-mm-ms was chosen. The needle was inserted from the apex end of the tissue, in the middle of the transverse plane. A motion function with a constant velocity was assigned to the set of nodes located at the ending element of the needle. The prescribed motion curve was defined as a ramp function varying from zero to 15mm/s in 10s. In order to restrict the translational movement of the tissue in space, all the nodes located on the external boundaries of the void part were constrained to move in x, y and z

directions. For the total insertion depth of 150mm, a total simulation time of 10s was required. The results were written to a separate database file in every 0.1s of insertion.

The material properties of the tissue were selected based on the published literatures. Because of the common utilization of needle insertion techniques in prostate brachytherapy it was intended here to model the tissue close to the prostate properties. The normal prostate tissue was considered as a linear elastic material with elastic modulus of 24.1+14.5kPa and Poisson's ratio of  $\nu=0.49$  (Ahn, Kim, Ian, Rha, & Kim, 2010). Since the variability of elastic moduli is relatively small, in the preliminary model presented here, the soft tissue was considered as a homogeneous material. The void part was chosen to have the similar properties of the tissue.

Buckling was very probable in our simulation because of the needle's high slenderness ratio. Even the small amount of axial force applied to the needle tip from the tissue could buckle the needle, as the calculated critical force was in the range of 0.4N for this size of the needle. In order to prevent buckling, all needle elements located outside of the tissue were constrained from lateral movements using the birth and death constraint option available in LS-DYNA. This constrain was removed from the elements as they were penetrating into the tissue. The birth and death option was representing the telescope support used in the insertion experiment. While the alive, the nodes were prevented from out of axis movement, and while dead the nodes were free to move in any direction.

To decrease the computational time, some assumptions were made to achieve a reasonable convergence time and accuracy. Attempts to refine the mesh in the areas away from the needle did not result in lower computational time. As the needle's stiffness and mesh size were found as two main factors for the expensive computational time, both needle and tissue were modeled as linear materials with Young's Modulus of  $1.0 \times 10^6$  smaller than real values. This provides less computatisonal costs per each time step. To

justify this assumption two case studies were modeled through which the scaled Young's Modulus was aimed to be assessed. The results of this case study is presented in section 4.8.2.

## CHAPTER 4

### RESULTS AND DISCUSSIONS

#### 4.1. Prediction of shape memory alloy wire behavior from the experiments and the model

In this section the obtained transformation temperatures of each SMA wire will be described followed by a brief discussion on the SMA's phase transformation diagram. The validation and comparison between the model and the experiment will also be shown.

##### 4.1.1. Transformation temperatures

A typical strain-temperature response from the constant stress experiment is shown in Figure 4-1a. As shown in the figure, the four transformation temperatures were obtained from the intersection points between tangential lines of the plateau and the transformation curve. At each constant stress level, for each wire diameter, three repetitions were performed to ensure the stability of the material and also to perform the statistical analysis. It was observed that during the first loading cycle a biased strain could remain unrecovered in some wires. This amount of biased strain would be eliminated in the next cycle and therefore a consistent actuation response was being observed in the following loading cycles. The results used to generate the transformation were gathered while the material was showing a stable response. The detailed discussion can be found in the studies of Honarvar et al. (Honarvar et al., 2014). For this reason, in Figure 4-1b only the third



repetition was shown. As can be seen, this figure compares strain-temperature response of the 0.20mm SMA wire under various constant stress levels. Zero strain in the figures refers to the initial position of the wire after the weight hanger-transducer rod was first hung. Although ten stress levels were adopted here, only five are shown here for clarity. It can be seen that a higher positive strain is observed at higher stress levels. This is plausible because the initial room temperature strain of each stress level was different due to the mechanical loading of the wire. The higher the stress level, the more it stretches the wire. Therefore, when the wire was heated it reversed back to its shorter, high temperature shape, hence decreasing strain in the heating curve.

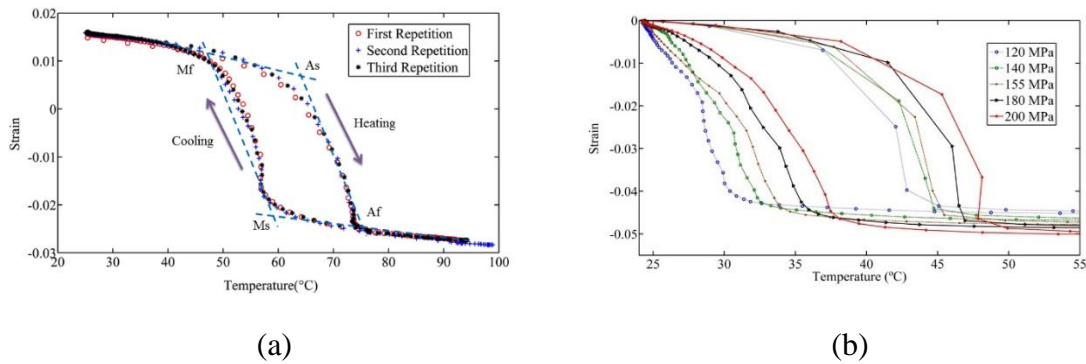


Figure 4-1: Strain-temperature response of a SMA wire: (a) typical curve to determine the transformation temperatures and (b) curves from a 0.20mm diameter wire under different stress levels.

#### 4.1.2. Phase transformation diagram

Figure 4-2 shows the stress levels plotted against transformation temperatures using the average values of the three repetitions. Average values were used because of relatively low standard deviation. In the figure, the transformation temperatures at zero level of stress were obtained from the DSC test.

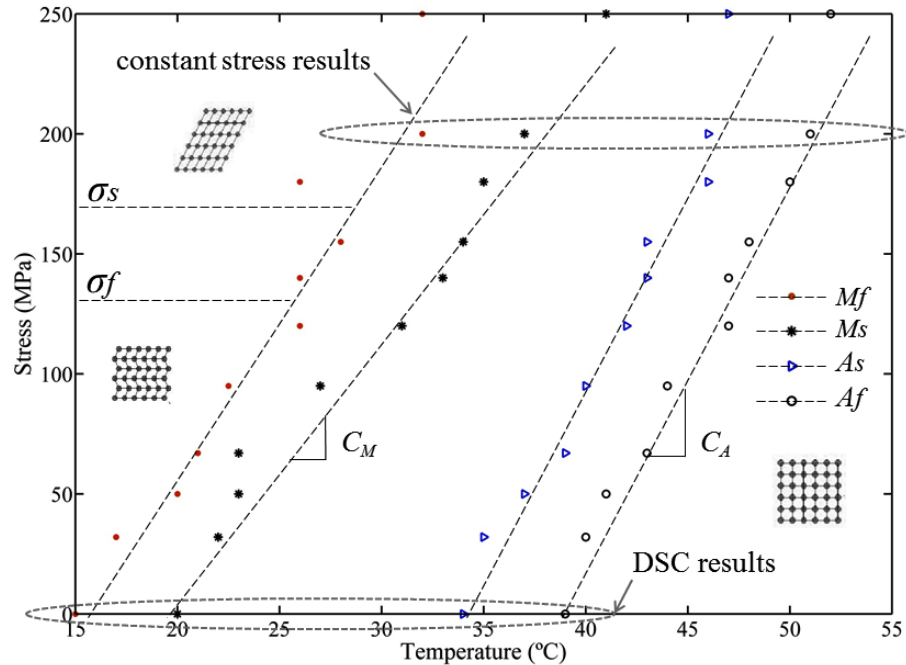


Figure 4-2: Transformation temperatures at different levels of stress for SMA wires of 0.20mm diameter. This figure shows the regions where each phase exist and the regions in which the transformation happens.

The Clausius-Clapeyron coefficients were determined from the slopes of the fitted linear curves, which are 13.5, 10.5, 16.7 and 16.7MPa/°C for  $M_f$ ,  $M_s$ ,  $A_s$  and  $A_f$  respectively. Average slopes were taken as martensitic ( $C_M$ ) and austenitic ( $C_A$ ) Clausius-Clapeyron coefficients. Therefore,  $C_M$  and  $C_A$  were determined to be 12.0 and 16.7MPa/°C, respectively. Moreover, based on the linear curve fits, the zero stress values of transformation temperatures were extrapolated to be 16.5, 19.4, 34.2 and 38.4°C for  $M_f$ ,  $M_s$ ,  $A_s$  and  $A_f$  respectively. As illustrated by Brinson (Brinson, 1993)  $M_s$  and  $M_f$  should be taken at the stress level where the detwinning process starts (at the stress level of  $\sigma_s$  shown in the figure), so these values should be considered as 25.0 and 31.0°C respectively. To simulate the actuator mechanical response, other material properties were also needed that were obtained from the room temperature tensile test (shown in Figure 4-2) and tabulated

in Table 4-1. The stress levels where the detwinning process start and end are denoted by  $\sigma_s$  and  $\sigma_f$ , respectively. Critical stresses were determined from the intersection points to the tangential lines to the elastic and the transformation parts of the curves, and Young's modulus of austenite or martensite was determined as the slopes of the tangential lines to the elastic part of the curve.

Table 4-1: Additional material properties obtained from the stress-strain response of the SMA wire. These properties were used as input to the Brinson model.

Material Properties	Values
Critical start transformation stress ( $\sigma_s$ )	130 MPa
Critical finish transformation stress ( $\sigma_f$ )	170 MPa
Martensite Young's modulus ( $E_M$ )	32.5 GPa
Austenite Young's modulus ( $E_A$ )	90 GPa
Maximum residual strain ( $\epsilon_{max}$ )	0.05

The phase transformation diagram with all the critical stresses could be formed at this point by having the gathered four transformation temperatures plotted vs. temperature at each level of stress (Figure 4-2). This diagram would enable us to predict the areas in which each phase exists and would show when the transformation happens under certain loading conditions. Table 4-2 shows the stress free transformation temperatures and Clausius-Clapeyron coefficients obtained following the same method for other wire diameters. It can be seen that due to the manufacturing process, different wire diameters showed different characteristics.

Table 4-2: Transformation temperatures at zero level of stress and Clausius-Clapeyron coefficients for different wire diameters.

Wire Diameter	$M_f$ (°C)	$M_s$ (°C)	$A_s$ (°C)	$A_f$ (°C)	$C_M$ (MPa/°C)	$C_A$ (MPa/°C)
0.20mm	25.0	31.0	34.2	38.4	12.0	16.6
0.23mm	18.0	23.0	24.0	32.0	8.1	5.7
0.29mm	30.0	35.0	38.0	56.0	6.9	8.3
0.48mm	40.0	45.0	50.0	60.0	6.0	9.3

#### 4.2. Validation of SMA's behavior predicted by Brinson model

The experimental stress-strain response of the SMA wire (shown in Figure 4-3) was also compared with the Brinson model. It can be seen that the simulated response of the wire was in a good agreement with the experimental results, thereby showing the reliability of our model. For more verification the stress-temperature and the strain-temperature of the wires obtained from the constant strain and constant strain experiments respectively is also compared with the Brinson model in the next two sections.

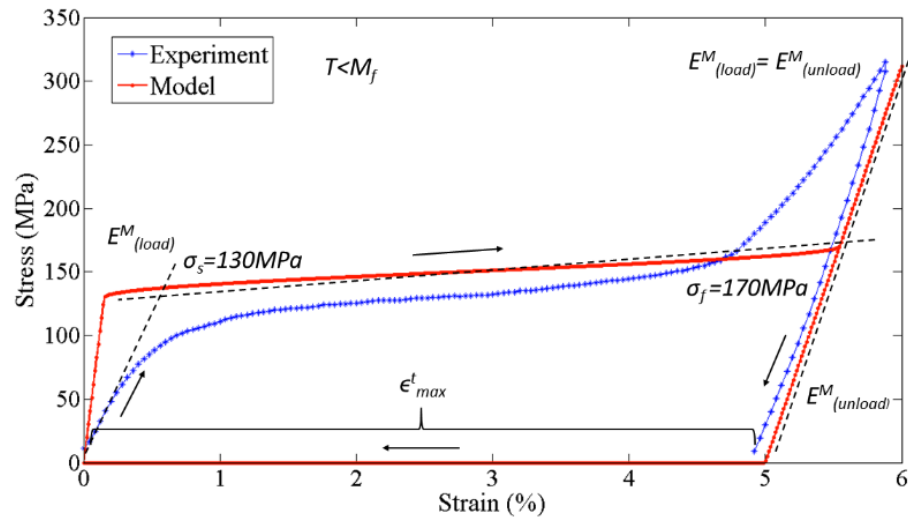


Figure 4-3: Comparison of stress-strain response obtained from the Brinson model and the isothermal test for 0.20mm SMA wire. The stress levels at which the transformation starts and ends, along with the maximum residual strain are shown.

#### 4.2.1. Stress-temperature response

Figure 4-4a compares the stress-temperature response of 0.48mm SMA wire simulated using the Brinson model and that determined from the constant strain experiment. The mismatches could be explained by the errors encountered in measuring the transformation temperatures and Clausius-Clapeyron slopes, primarily resulting from improper crimping and thermocouple attachments.

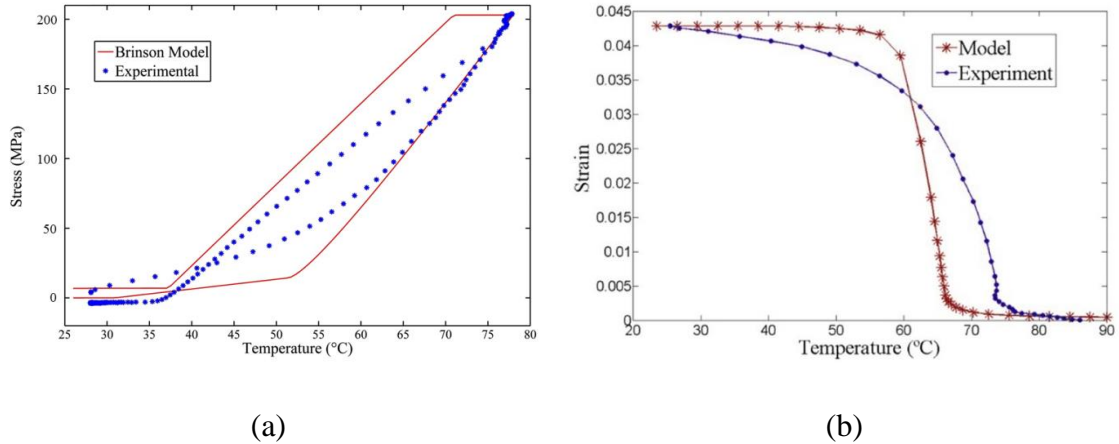


Figure 4-4: Comparison of (a) stress-temperature and (b) strain-temperature response of SMA wires obtained using the Brinson model and from experiments.

#### 4.2.2. Strain-temperature response

The FE model was compared with experimental results and is shown in Figure 4-4b. It can be seen that the model closely predicted the final strain in the wire, but a mismatch was observed in the slope of the transformation region. The mismatch could be explained by different response time of wires during the experiment. The small size of the wire and the thermocouple and their poor connection introduced difficulties in temperature measurement, thereby could lead to deviation from the numerical prediction.

### 4.3. Prediction of SMA behavior using Brinson model and temperature model

#### 4.3.1. The isothermal stress-strain curves

To examine the thermomechanical characteristics of SMA wire the isothermal response of the 0.20mm diameter SMA wire predicted by the model at various temperatures is

presented in Figure 4-5. At almost all temperatures, the material shows a linear response to the applied stress until it reaches a critical stress after which the SMA goes through a phase transformation, where stiffness decreases and a high amount of strain was observed in the material, in which similar to plastic yielding. After transformation, the material gets stiffer again (due to the presence of complete austenite phase) and a linear response with higher distinctive slope was observed.

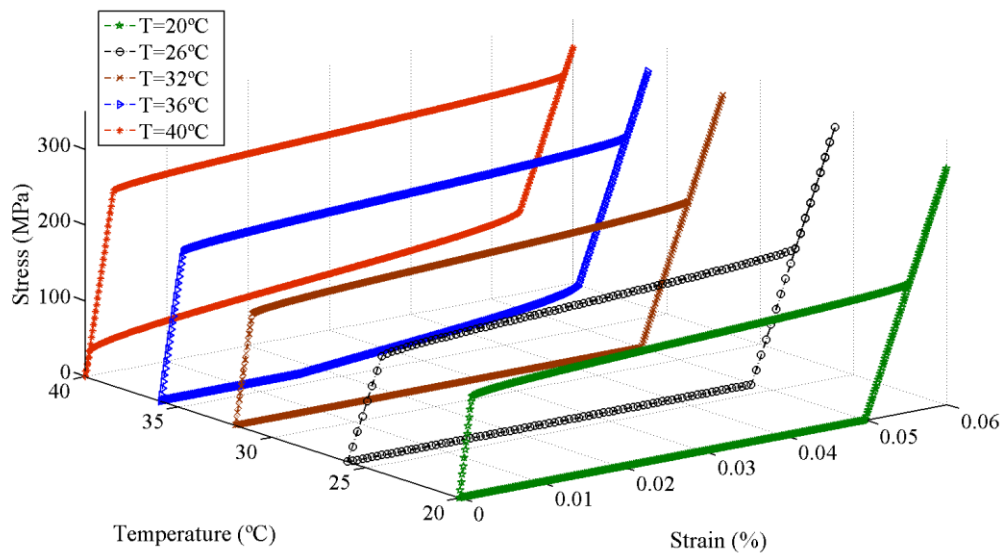


Figure 4-5: Isothermal stress-strain curve for the SMA wire diameter of 0.20mm obtained from the MATLAB code.

At low temperatures ( $T < A_s$ ) applying stress would cause the transformation from the temperature-induced (twinned) martensite to the stress-induced (detwinned) martensite. This transformation enforces the habit planes to align with the direction of the applied force and consequently a large amount of residual strain. This large amount of strain at higher temperatures ( $T > M_s$ ) is caused by the transformation from austenite to martensite phase. Upon unloading, a partial or a complete recovery of strain could be seen due to the different reverse transformation process in the material at different temperatures. For lower temperatures a large residual strain can be observed due to an incomplete

transformation to austenite. The material should be heated above  $A_f$  to recover this residual strain. For temperatures lower than  $A_f$  there would be a partial recovery due to the existence of both austenite and detwinned martensite after unloading. For the temperatures above  $A_f$  on the other hand the material can recover the whole amount of strain which is known as the superelasticity effect (Brinson, 1993).

#### 4.3.2. Temperature profile

Figure 4-6 shows negligible differences between the predicted and experimentally measured temperature profiles. These negligible differences suggest that the Terriault and Brailoski (Terriault & Brailovski, 2011) resistance formulation accurately simulated the heat transfer mechanism of Nitinol actuator mechanism. Most of the thermal values (tabulated in Table 4-3) used in this resistance heating simulation were obtained from the manufacturer.

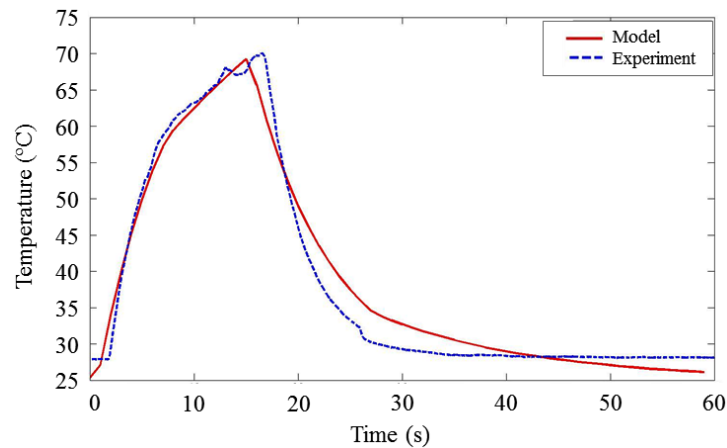


Figure 4-6: Temperature response using Terriault and Brailosvki resistance heating formulation. 1.5A was applied for 15s followed by ambient cooling, D=0.48 mm.



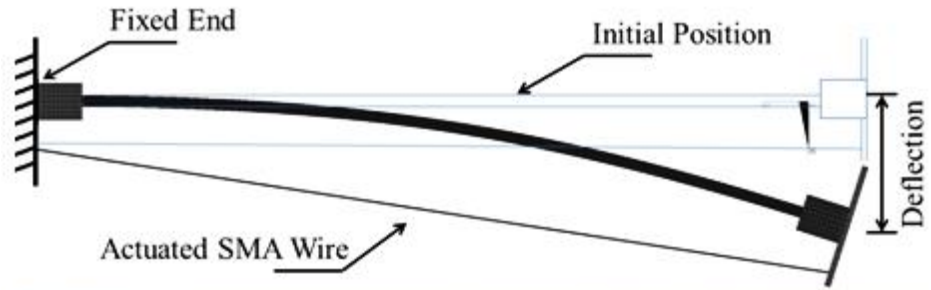
Table 4-3: Thermal properties of the Flexinol wires.

Resistivity of austenite ( $\Omega_A$ )	1.0e-6 $\Omega.m$
Resistivity of martenite ( $\Omega_M$ )	8.0e-5 $\Omega.m$
Density of the wire ( $D$ )	6450 $kg/m^3$
Latent heat of phase transformation ( $Q_{PT}$ )	156e6 $J/m^3$
Specific heat ( $C_p$ )	837.17 $J/kg/^\circ C$
Convection coefficient ( $h$ )	85 $W/m^2/^\circ C$

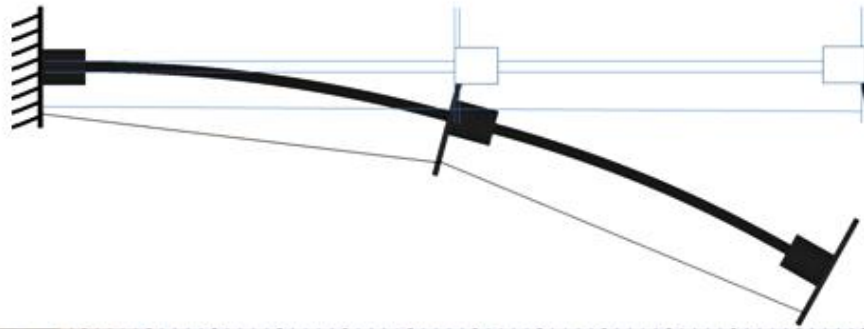
#### 4.4. Active needle deflection

##### 4.4.1. Deflection prediction using the finite element model and the prototype

Figure 4-7 shows the cannula's deflection with 0.20mm SMA actuators obtained by the FE models and prototypes both with one (P1) and two (P5) sections. The cannula bends due to the SMA wire's contraction upon increasing the wire temperature, achieved using conventional Joule heating. The maximum deflection of the cannula was ensured by setting the highest pre-strain condition and by applying sufficient amount of current. For the one-section and the two-sections active cannula ( $D_{in}=0.88mm$ ,  $D_{out}=1.59mm$ ) the maximum deflection of  $24\pm 0.24$  and  $27\pm 0.33mm$  was observed (Figure 4-7a and 4-7b), while it was predicted to be 23.45 and 28.58mm by the FE model, respectively. Six prototypes of different configurations as described in section 3.5 were used to validate the FE model.



(a)



(b)

Figure 4-7: Verification of the FE model using the corresponding prototype for (a) one-section (P1) and (b) two-section models (P5).

Table 4-4 compares the deflection of different cannulas actuated by different SMA wires with the FE model predictions. The difference of less than 10% was observed and therefore validated our FE model.

Table 4-4: The maximum deflection of aluminum prototypes predicted by both experimental test and FE model.

Prototype	Experimental test	Finite Element Model	Error (%)
P1	20±0.22	19.76	1.21
P2	9.5±0.17	9.81	3.16
P3	4±0.25	4.34	7.83
P4	24±0.24	23.45	2.34
P5	27±0.33	28.58	5.52
P6	9±0.19	9.87	8.81

Figure 4-8 shows the real-time deflection of the six prototypes of Table 3-1 actuated by the current input applied as a ramp function. The vision based measurement like the one described before was used to evaluate the deflection. The current was kept constant for 6 seconds to show the stabilized values of deflection at 1.0A. Also it was found that by applying 1.0A all the prototypes reached their maximum repeatable deflections, while applying more current did not increase the deflection and in some cases caused the wires to fail; for example, the wires were burned and in many occasions lost their shape memory properties. The amount of allowable current was different for different wire diameters; for example, 0.20mm SMA wires failed at 2.20A and the results were not repeatable at 1.50A. The quickest response time was achieved by the prototype with two sections of actuations

(P5). The maximum deflection was reached by prototypes numbered P4 and P5 because of their relatively lower moment of inertia and higher SMA actuation forces. These results suggest that having multiple sections of actuations would enhance the maneuverability of the active cannula.

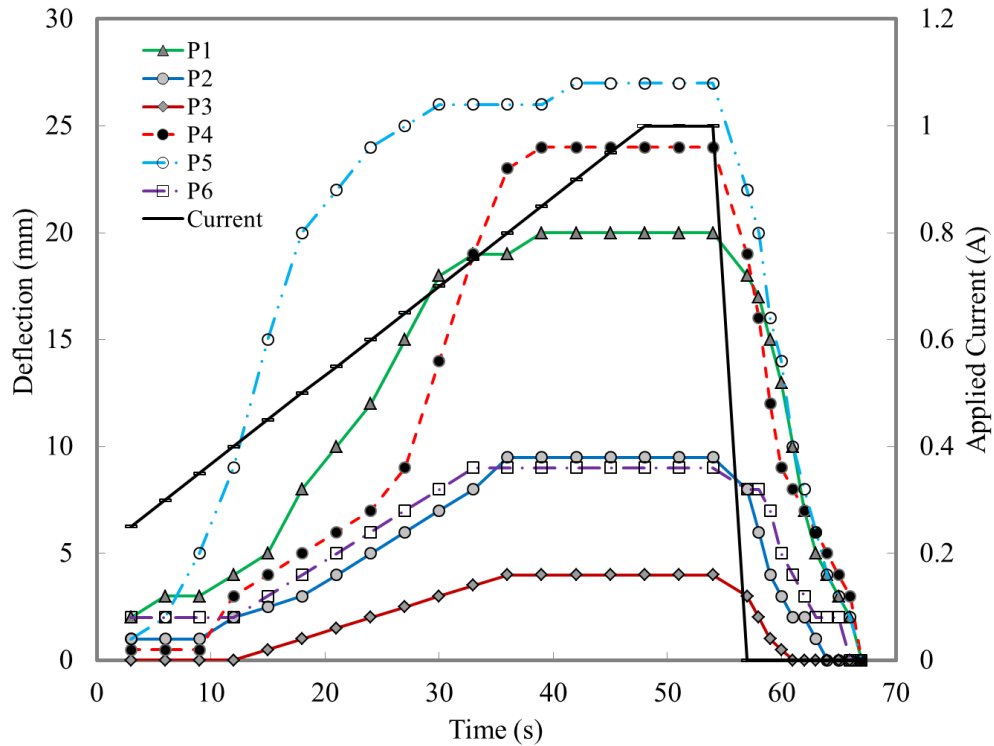


Figure 4-8: Real-time deflection of different cannulas due to the applied current as a ramp function for the prototypes developed in chapter 3.5.

#### 4.4.2. Design parameter study

It could be seen that with all three actuators, as expected, the deflections were smallest for stiffer cannulas. It was also observed that as modulus increased the deflection of cannula decreased nonlinearly, which can be explained as follows. When SMA wires are actuated (contracts), stresses are generated in the wire due to the resistance to bend the

cannula. This amount of stress makes the wire follow a different path in the transformation diagram reaching higher stresses. With the stiffer cannulas, the incomplete transformation to austenite is expected which prevents the SMA wire from reaching the maximum contraction and therefore noticeable decrease in the deflection. The earlier transformation to austenite in the wires (with the higher values of CA) caused the transformation to happen at lower stress level, meaning faster response.

For clinical use, the lowest amount of offset is always preferable as it diminishes the size of the device. To date, significant efforts have been made to develop the SMA actuated needles in the smallest dimensions possible using variety of advanced techniques; for instance, Ryu et al. (Ryu, Renaud, Black, Daniel, & Cutkosky, 2011) developed a prototype of 1.37mm needle diameter (18G needle tip) where all actuators and heating optical fibers were mounted inside the cannula. Moreover, prototypes were developed by Ayvali et al. (Ayvali, Liang, Ho, Chen, & Desai, 2012b) and Datla et al. (Datla, Konh, & Hutapea, 2014a, 2014b) where the needle diameter was in a comparable range of 3.17mm (~11G) and 2.20mm (~14G), respectively. Furthermore, several other challenges need to be thoroughly considered while incorporating the SMA wire in the cannula. The method of setting the SMA's pre-strain condition and the electrical insulation between the wire and the cannulas which are mostly made of conductive materials are among these challenges. It is reported that tissue necrosis is happening at temperatures greater than 50.4°C (Mcdannold, King, Jolesz, & Hynynen, 2000). Since most of our actuators are being heated above this range, the thermal insulation is essential to be investigated. In our previous studies (Datla, Konh, Koo, et al., 2014), the probable thermal damage to the prostate tissue was reported using a heated SMA wire embedded in a phantom material that mimics the tissue's thermal damage properties. In depth investigations are being done in our research group for a proper thermal insulation of the heated elements with appropriate bio-

compatible materials prior to the development of the active needles for the realistic clinical use. As a solution, the SMA wires could be encapsulated by an insulating polymer layer with low thermal conductivity. For example, polyimides have low thermal conductivities in the order of 0.1 W/m-K. The thickness of this polymer layer should be carefully selected to minimize the temperature rise on the outer surface of the device. An in situ photon-initiated polymerization method could be utilized with the photosensitive polymers of low cytotoxicity (Myllymaa et al., 2010) as for the candidate materials. Furthermore, it has been demonstrated that polyimides can be used to coat the wires' surfaces (Allen, Leong, Lim, & Kohl, 1997; Shah & Gordon, 2003).

#### 4.5. Optimization of the active needle

The analysis showed that, among all input parameters, the length of the cannula and the offset distance are the most influencing parameters on the needle tip deformation.

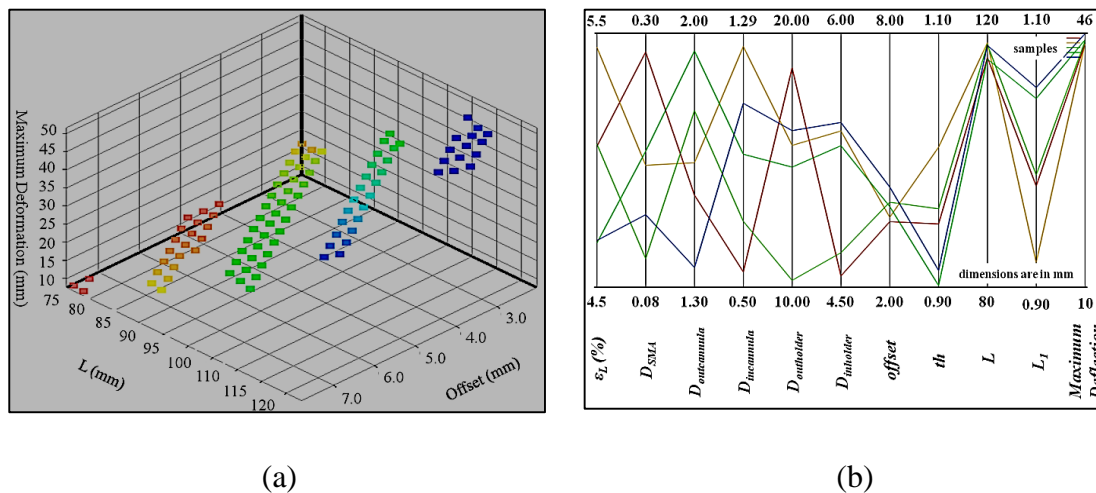


Figure 4-9: Optimization results from the DOE method. (a) Visualization of the objective parameter (needle tip deflection) with variation of cannula's length and offset distance and (b) five best candidate design points.

Figure 4-9a shows the variation of needle tip deflection (the objective parameter) based on these two sensitive parameters for all the 100 design points. Of these, the five best configurations having the maximum deflection are shown in Figure 4-9b.

The convergence achieved after 11 total iterations and 594 evaluations resulted in 10 best candidates for the active needle design which are shown in Figure 4-10b. Also among all input parameters the offset, the cannula's length and the cannula's outer diameter were shown to be the most influential parameters on the needle tip deflection. The variation of the tip deflection based on variations of the two sensitive parameters (the total length and the offset) is shown in Figure 4-10a.

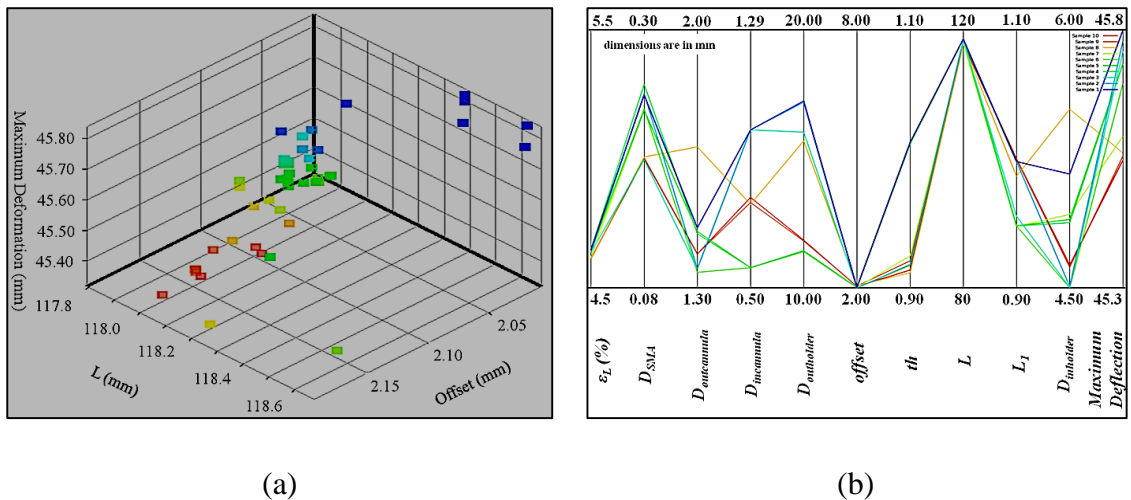


Figure 4-10: Optimization results from the MOGA method. (a) Visualization of the objective parameter (needle tip deflection) with variation of cannula's length and offset distance and (b) ten best candidate design points.

Table 4-5 compares the best candidate design points obtained from DOE and MOGA methods. It was observed that almost similar results were obtained using the two methods. The offset and the DSMA values show deviations of 41 and 39%, respectively. It was observed that the values of the five best candidates suggested by the DOE method do not show a specific trend so that a certain converged value can be interpreted.

Comparing the best five candidates of DOE and MOGA method leads to a more or less deviations within different parameters. The calculation time using MOGA was less since the iterations were done using genetic algorithm and therefore less number of assessments/iterations. This suggests that MOGA is a preferred optimization method over DOE, because similar optimized solution was reached in a shorter time period.

Table 4-5: Comparison of the optimized design points obtained from DOE and MOGA methods (all dimensions are in mm).

	$\epsilon_L$ (%)	$D_{SMA}$	Cannula		Holder		offset	th	L	$L_1$	Max Def.
			$D_{out}$	$D_{in}$	$D_{out}$	$D_{in}$					
DOE	4.68	0.15	1.36	1.09	16.44	5.46	4.37	0.92	118.54	1.06	45.93
MO GA	4.65	0.24	1.46	0.98	17.02	5.08	2.01	1.01	118.64	0.99	45.84

A clinical aspect that needs to be addresses in the needle design is to minimize tissue rupture while inserting the needle. The amount of rupture is directly proportional to the maximum distance between the SMA wire and the cannula, which happens at the needle's mid length. Therefore, minimizing this gap will reduce the amount of rupture. This can be achieved by dividing the length into several sections. To demonstrate this, a case study with two sections having half length of the SMA wire was designed to investigate how much this gap can be decreased. The optimization study showed 44.06mm deflection assuming two sections compared to 45.84mm deflection of the past one section model. However the gap decreased from 2.56mm to 1.47mm. This clearly illustrated that the more the number of section is, the less destructive the active needle would be. Also, the tissue damage due to existence of heated elements of actuators has to be investigated thoroughly. The degree to which real tissue is damaged due to the heated SMA wires is very similar to that of the phantom since it shares the tissue's thermal properties. This

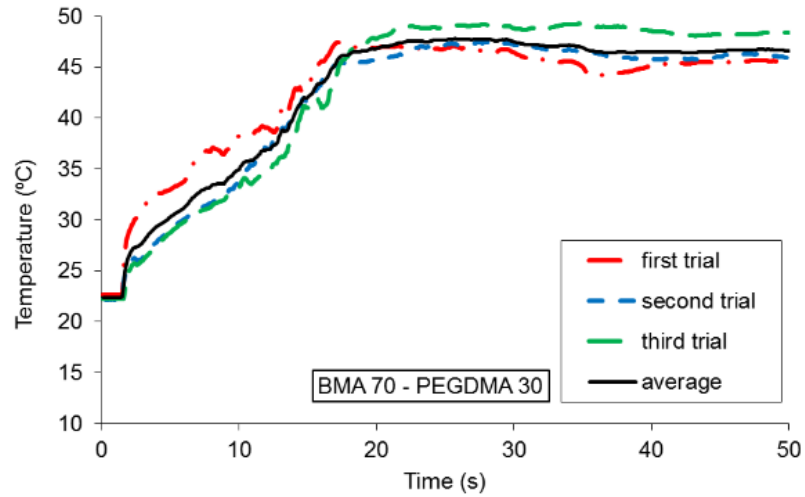


aspect has been reported in our previous work (Datla, Konh, Koo, et al., 2014). In most cases the tissue necrosis occurs at temperatures above 50.4°C as was reported in (Mcdannold et al., 2000). The thermal damage can be avoided by actuating the wires for a very short period of time or by thermally insulating the wires which is being investigated by our research group.

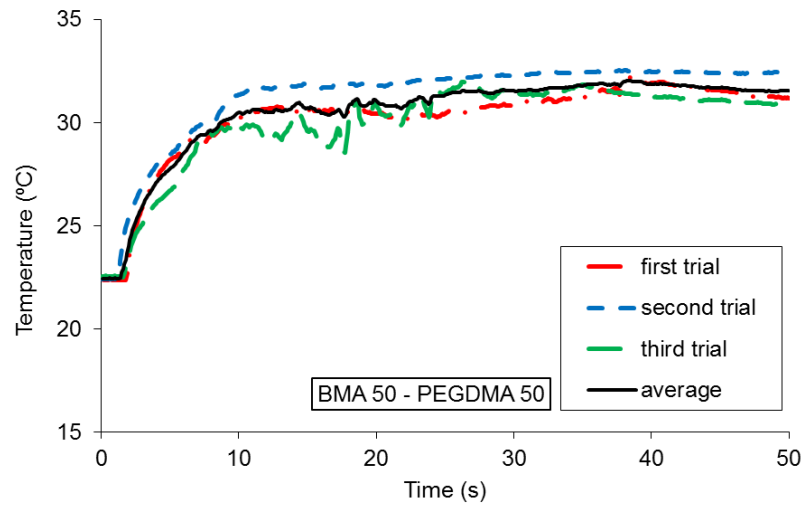
#### **4.6. Advanced prototype of the active needle with shape memory polymer**

Figure 4-11 shows the temperature of SMPs captured by the attached thermocouples while subjected to the current of 0.70A and 0.5A for 70:30 and 50:50 SMPs for an overall time of 50s. Three repetitions were done to ensure the repeatability of the results. The average temperature of SMP has also been shown in the figure. The fluctuations in the temperature profile were due to the movement of the structure that makes the connection between the thermocouple and the SMP was not as perfect as the stationary position. This happens when the SMP reaches its glass transition temperature (~30°C for 70:30, and ~35°C for 50:50 SMP).

The maximum temperature and the average temperature of the SMP were sensed to be 48.0°C and 42.5°C, respectively for the 70:30 SMP. These values were found to be 32.2°C and 30.5°C for the 50:50 SMP. According to the DSC result the complete phase transformation was expected at 42.92°C and 37.79°C for the 70:30 and 50:50 SMPs, respectively. The values confirm that the amount of power supplied was sufficient for the shape recovery.

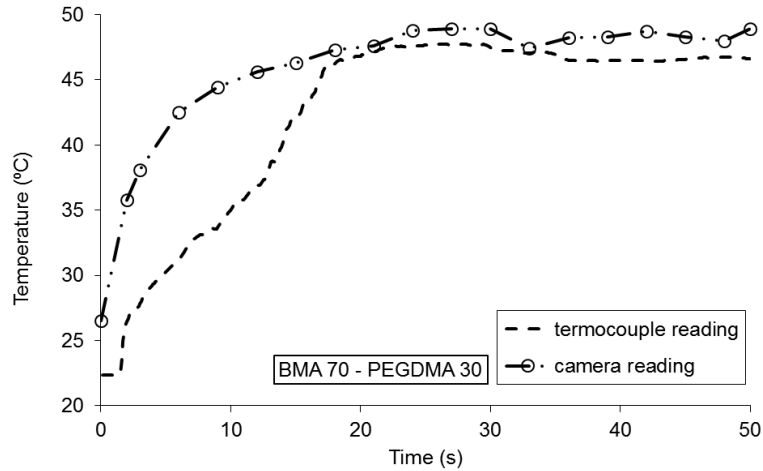


(a)

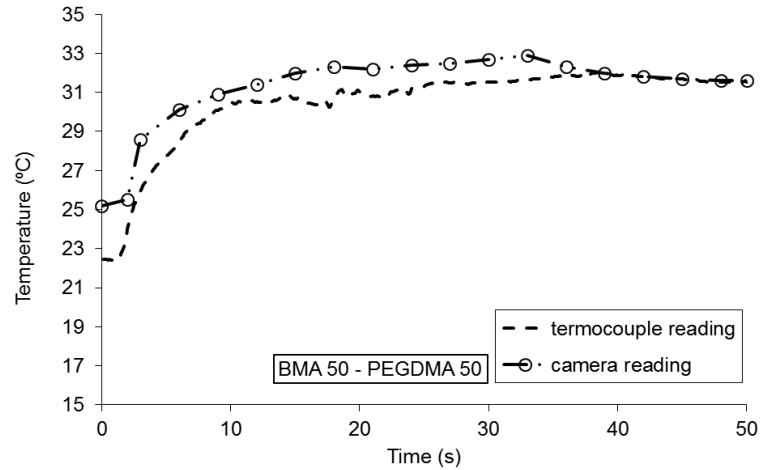


(b)

Figure 4-11: SMP's temperature captured by the attached thermocouples for BMA:PEGDMA concentrations of (a) 70:30, and (b) 50:50.



(a)



(b)

Figure 4-12: SMP's temperature profile measured by the infra-red camera, and the attached thermocouples for BMA:PEGDMA concentrations of (a) 70:30, and (b) 50:50.

The SMPs' temperature profile obtained by the infra-red camera is shown in Figure 4-12 while also compared with the thermocouple measurements. Only the average temperature is shown in this figure. It was seen that the readings of the temperatures are almost the same using both methods. While heating, the measurements of the camera and

the thermocouple were showing a bigger difference; however, after 20s of heating the readings were close with less than 10% difference. However, the infra-red camera has the privilege of displaying real-time temperatures on the structure which better assist us in collecting the glass transition temperatures of the SMP.

SMA's unique characteristics such as robust actuation, high energy density and biocompatible properties make them suitable candidates to be used as actuators in many devices, especially in medical instruments. This study aims to show the feasibility of activating surgical needles utilizing two way actuations of both SMA's and SMP's. Incorporation of the additional actuation of SMP elements in the design introduces more dexterity and actuation. Privileging from this actuating system, surgeons would be able to compensate for their probable misplacements and errors. Controlling the actuation system would make it even possible to guide the needle in a desired trajectory, away from sensitive organs, reaching target locations. Therefore, the procedure's outcome would be improved drastically. Deflection of the active needle was evaluated in air while the SMA wire was subjected to different amount of current. The amount of heat required for the SMP element to get the structure back to its initial position was studied. The SMP's temperature was captured using an infra-red camera and an attached thermocouple. A different method of heating was also used with the attached electrical wires. It was shown that a higher deflection could be achieved using SMP's at higher temperatures. A promising approach to incorporate SMP as a flexure joint component was shown through the results. Thermal insulation of the SMP and the SMA component is inevitable as thermal damage to the tissue is very probable while actuated. In our previous study [4] the thermal tissue damage while subjected to the heated SMA wires has been reported. The proper thermal insulation of the heated elements with appropriate biocompatible materials is currently under investigation in our laboratory. The amount of force that can be generated through SMP's

of different concentrations of BMA:PEGDMA is still under investigation to achieve the best SMP suitable for the design.

#### 4.7. Needle insertion tests

Figure 4-13 shows the experimental deflection of the needle inside the phantom. The experiment was repeated three times to ensure the repeatability of results. The final tip deflection of  $22.2 \pm 0.3\text{mm}$  was observed which was comparable to the deflection of 20.8mm predicted by the simulation. The standard deviation values was found by performing three experimental repetitions. The error of 6.3% suggests that our simulation can predict the bending of the needle with a reasonable accuracy in spite of our modeling assumptions of ignoring friction and planar movement of the needle.

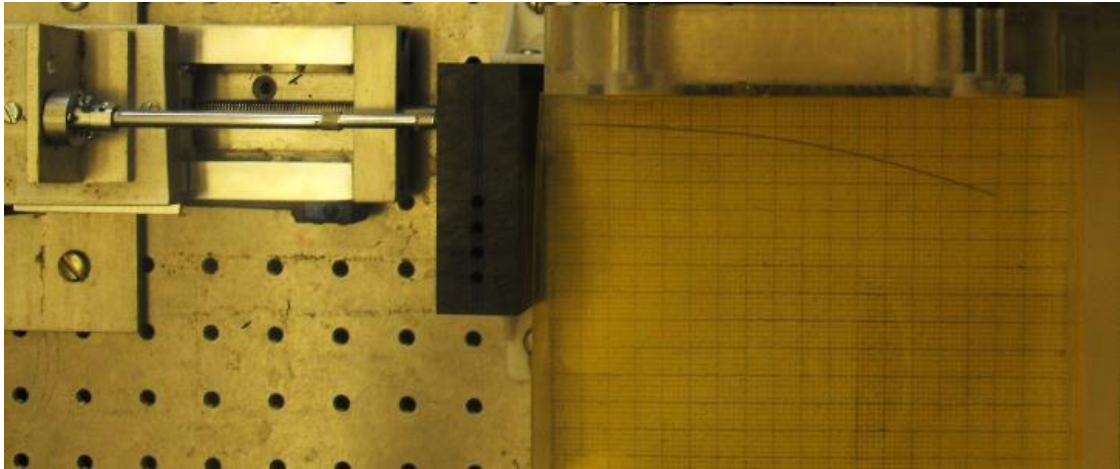


Figure 4-13: Deflection of the needle in the tissue mimicking phantom.

#### 4.8. Needle insertion simulation

The final deflected shape of the needle inside the tissue obtained from simulation and the experiment is shown in Figure 4-14. Also the difference of the predicted deflection and the experimental results is shown. It can be seen that for a higher depth the error

between the prediction by the simulation and the experiment goes higher. The required model accuracy for needle insertion inside the soft tissue is not known yet. However, the difference of less than 3mm at all time is considered as a good estimation for 150mm of insertion depth. This simulation while validated with experiment is desirable to be used in system optimization, path planning and real time control. Our simulation has also the capability of matching with image-guide control algorithms to improve the outcome of the robotic steering needles inside biological tissues.

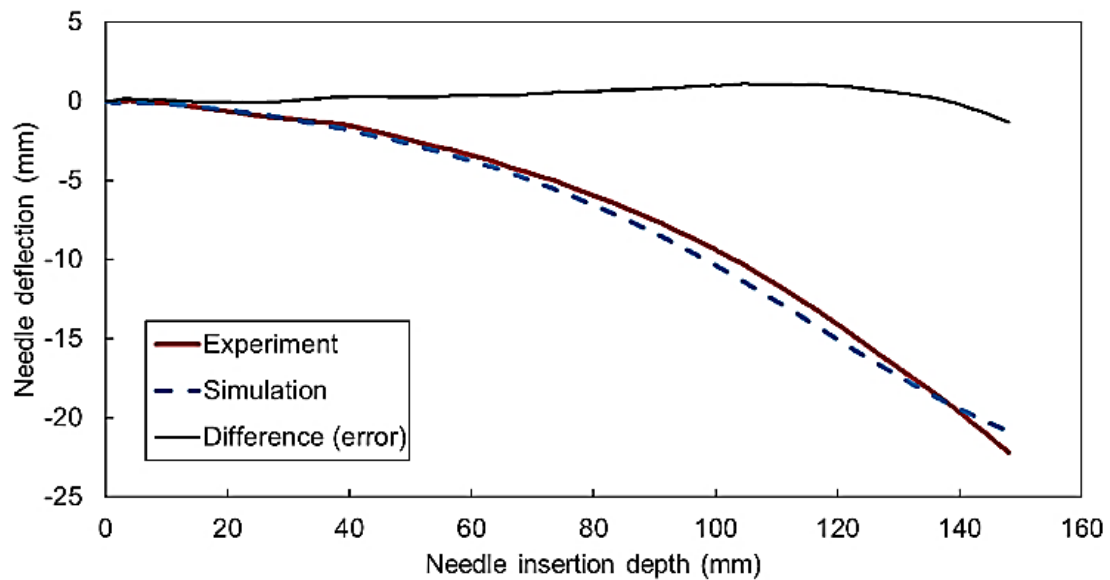


Figure 4-14: Comparison of the simulation and experimental results of the needle deflection vs. the insertion depth.

#### 4.8.1. Validation of the simulation with experiments

According to the literatures (Ahn et al., 2010) the healthy and cancerous prostate tissue has the elastic modulus of  $24.1 \pm 14.5$  kPa and  $17.0 \pm 9.0$  kPa, respectively. Figure 4-15 shows the measured indentation force for the Plastisol phantom developed for the needle

insertion tests. The pure elastic behavior was observable since the loading and unloading curves are overlapping. The elastic modulus was found to be  $25.6 \pm 0.6 \text{ kPa}$  which was close to the reported values of real prostate tissue (Ahn et al., 2010).

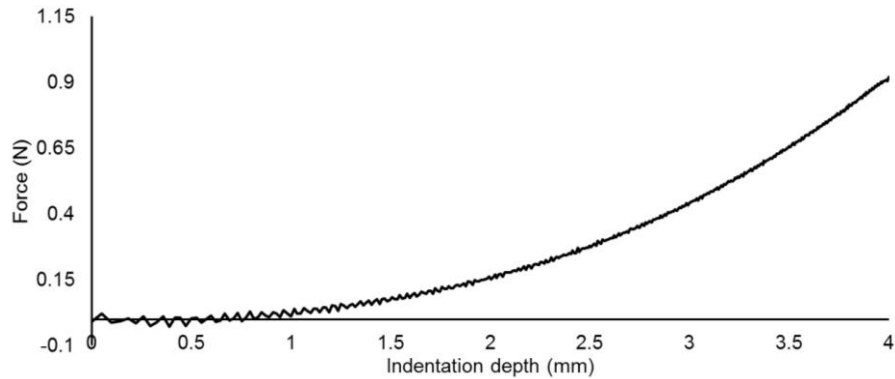


Figure 4-15: Indentation data: the measured force vs. displacement.

In our needle insertion experiment, the needle was inserted at an offset distance varying between 50 to 70mm, where offset distance was the transverse distance between the line of action of needle insertion and the tissue boundary. The insertion test was performed repeatedly with 0.51mm needle for offset distances of 14 and 50mm and it was shown that despite the changes in offset distances, the needle-tip deflections differed by 4.5% (28.2 and 27.0mm deflections for 14 and 50mm offsets, respectively), thereby showing negligible effects of offset and boundary constraints. Therefore, the experimental results showed negligible effect on the final deformation of the needle due to the offset distance between the needle and the tissue boundaries. Our simulation, on the other hand, showed similar results as well. The tip deflection of 24.6 and 25.9mm was found for 0.51mm needle diameter, setting two different offset distances of 5 and 10mm. the difference of less than 5% was observed and thereby showed a negligible effect of offset distance on the total deflection. In all of our simulations, a gap of 10.0mm between the boundary and the insertion point was adopted.

Two cases were developed for needle diameter of 0.64mm and bevel tip angle of 20°. The only difference between these two cases was the Young's modulus of tissue and needle which were scaled down with the factor of  $1.0 \times 10^6$  in one case and not scaled in the other one. The results showed a 4.7% difference (25.5mm for the scaled model and 24.3mm for the real values) between the two cases, while the computation time was hugely cheaper in case of the scaled Young's modulus. Therefore, justified our previous assumption to scale down both the Young's modulus of needle and tissue by the same ratio.

Figure 4-16 shows the deflection of the real size needle inside the tissue with the contours of fluid density shown. It can be seen that the mass translation at the tip of the needle is high. It should also be noticed that the mass of the elements that is pushed by the needle was moved to some elements of the void part. This is due to the capability of the ALE formulation that include the option of having multiple materials inside elements. The same trend was observed on the von Mises stress showing the interacting forces that are applied to the needle tip from tissue resistance.

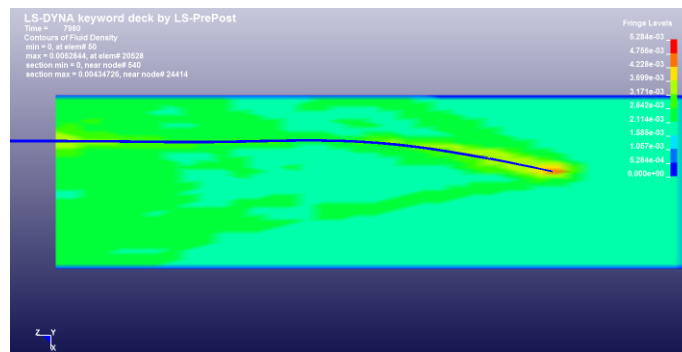


Figure 4-16: Contours of the fluid density for the 0.64mm needle diameter inserted 150mm into the phantom.

There are numerous factors influence the needle interactions such as needle type, insertion speed, and tissue characteristics. However, the influence of these factors on the final deflection in not clear. The simulation here could explain many of these questions



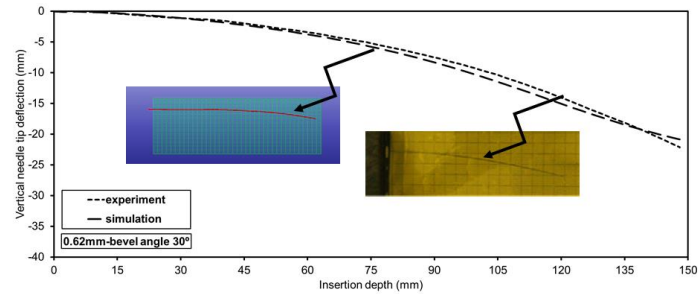
while validated. Figure 4-17 shows the deflected shape of needles with diameters of 0.64, 0.51 and 0.38mm and bevel tip angle of 30° while inserted 150mm into the phantom. The difference between the numerical predictions and the experimental results was observed higher for deeper penetrations. However, since this difference was less than 5mm in the total insertion depth of 150mm, the simulation could be trusted with reasonable accuracy. The less amount of deflection for thicker needles could be explained by their higher resistance to bending. Although the overall forces acting on thicker needle's tip is higher because of the bigger interacting area but it is not high enough to cause high deflections as shown also by our experimental results.

Table 4-6: Comparison between the deflection prediction by the LSDYNA model and the insertion experimental results. The standard deviations were obtained by three repetitions of the tests.

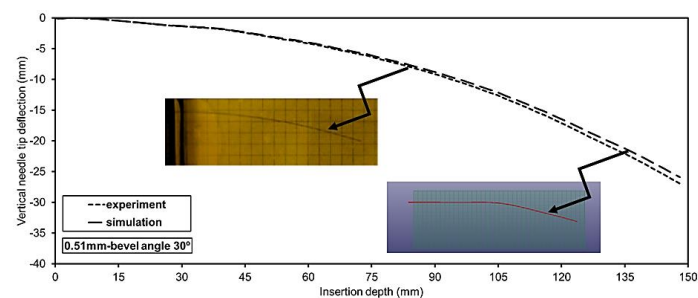
Bevel angle	Needle Deflection (mm)		
	LSDYNA model	Insertion test	% Error
20	25.50	26.47±0.3	3.66
30	20.80	24.92±0.4	16.53
40	14.80	23.96±0.4	38.23
50	10.40	19.51±1.1	46.69
60	7.30	18.61±0.7	60.77

Table 4-6 compares the final needle tip deflection of three different diameters of needle obtained by the needle insertion test and the simulation. It is shown that the deflection predicted by model has small difference (maximum error of less than 10%) with the experimental results and therefore validates our simulation. In our simulation, an

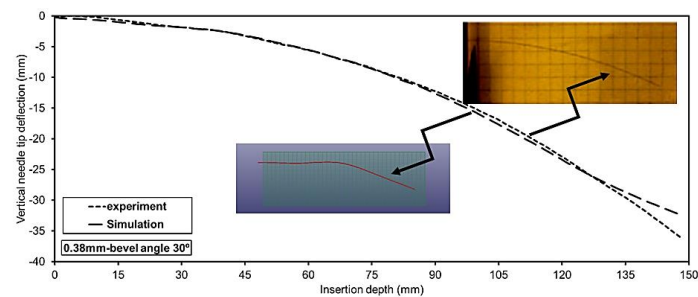
octagonal prism represented the cylindrical shape of needle with 4 nodes at the cross-section. This meshed shape reduces the interacting bevel area of the needle by 4% compared to the real cylindrical shape. Therefore considering same amount of stress applied to a smaller area some portion of the error could be explained.



(a)



(b)



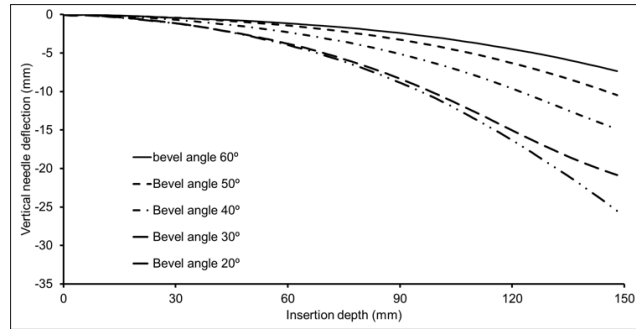
(c)

Figure 4-17: Numerical and experimental predictions for the deflected shape of (a)  $\emptyset$  0.62mm, (b)  $\emptyset$  0.51mm, and (c)  $\emptyset$  0.38mm needles after 150mm of insertion.

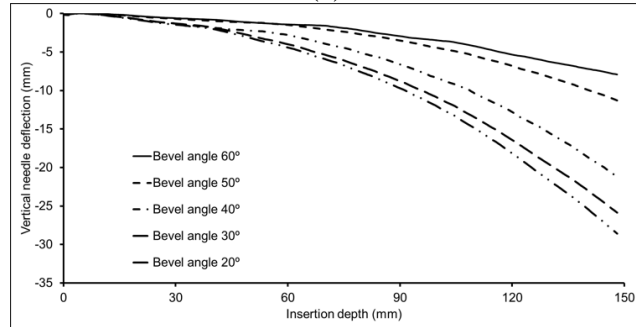
#### 4.8.2. Deflection of needles of various diameters and bevel angles inside the tissue

The primary function of having a bevel tip is to create a path way inside the tissue.

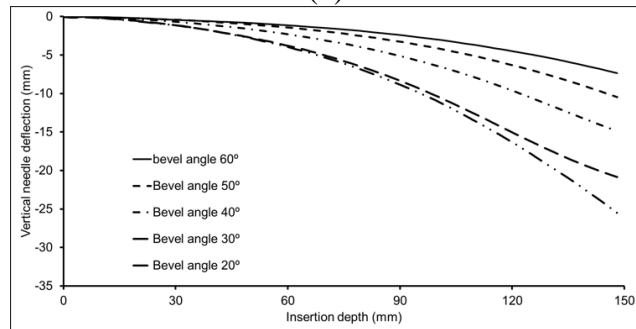
Figure 4-18 shows the parametric studies to investigate the effect of having different bevel angles on the needle's tip.



(a)



(b)



(c)

Figure 4-18: Simulated deflected shape of needles of (a)  $\varnothing$  0.38mm, (b)  $\varnothing$  0.51mm, and (c)  $\varnothing$  0.64mm with different bevel tip angles.

Three different needles with diameters of 0.38, 0.51 and 0.64mm were selected for this aim. It was seen that the higher the bevel angle is the more deflection is achieved. This can be explained by the fact that a straight trajectory is expected with needles of symmetrical tip.

While inserting a thin needle with small bevel angle, the large deflection of the needle would align its bevel tip nearly perpendicular to the line of insertion. The amount of the exerted force in such cases becomes so effective that makes buckling very probable. Our simulations showed that increasing stiffness of both needle and tissue by a same ratio could prevent this probable buckling which was not observed in the experiments.

Figure 4-19 lists the summary of the final position of needles of various design configurations. The figure suggests that the effect of the bevel tip angle was aggravated for bigger diameters of needle. It can be seen that different shapes of the needle can be achieved by choosing the appropriate needle size and bevel tip angle. This study would be helpful for reaching a target location robotically when a certain radius of curvature is needed.

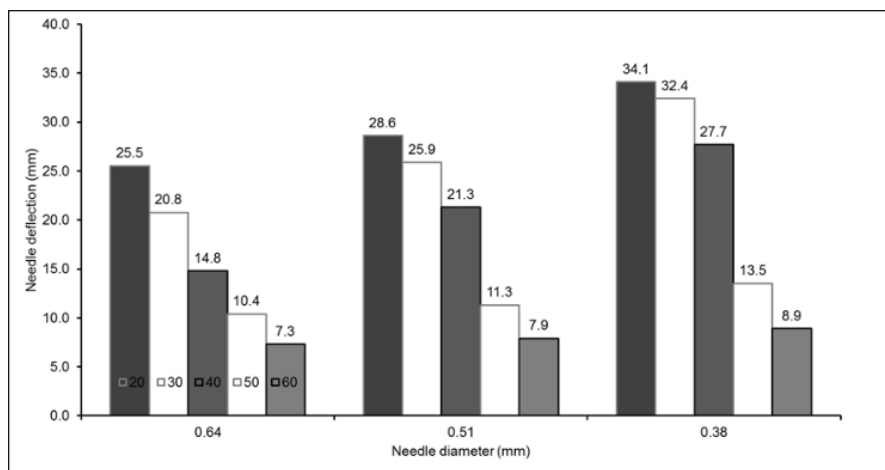


Figure 4-19: Needle deflection estimated for different needle diameters with different bevel tip angles.

## CHAPTER 5

### CONCLUSIONS

This study has looked into many areas all with the focus of demonstrating the feasibility of developing an active needle with incorporation of smart materials. First a 3D finite element model of an actuated steerable needle was developed in ANSYS to study the structural response of the device. The shape memory alloy behavior was modeled by defining the isothermal stress-strain curves using the multilinear capability of ANSYS. These isothermal curves were formed using implementation of the Brinson model in MATLAB. Stress and strain responses of SMAs predicted by this model were compared by experimental results to show the reasonable accuracy of this model. Birth and death method was used in the solution procedure to get the pre-strain condition on the SMA wire. The FE model was validated by a developed prototype of the actuated steerable needle. This model was found suitable for capturing the actuation characteristics of SMAs. Several design parameters, such as needle's diameter, SMA's pre-strain and its offset from the needle were studied using the FE model to help optimize the design of a surgical needle. Through different designed models and prototypes it was found that the maximum flexibility could be obtained using multiple sections of actuations. This study can serve as a basis for the optimization study which would eventually lead to a more practical design of the active needle closer to the practical use. Also this study demonstrates the modeling, analysis and optimization of a shape memory alloy actuated needle using finite element approach. The accuracy of the finite element model was established by validating with

experiments done on a developed prototype of the active needle. A design optimization study was performed with the objective of maximizing the needle tip deflection to ensure the highest steerability of the active needle. Suitable design parameters that maximize the needle deflection were presented using two different methods: Design of Experiments (DOE) with random choices of design points and Multi-Objective Genetic Algorithm (MOGA) with evolving choices of design points in the domain. The length of the SMA wire as well as the offset distance between the needle and the SMA wire were found to be the most effective parameters on the needle deflection. The optimized design resulted in a maximum deflection of 45.84mm with a 118.64mm long SMA wire. This amount is much larger than the clinical requisite; the amount of deflection could be controlled based on need by applying appropriate amount of current. Based on the optimization study a physical advanced prototype of the active needle was developed. This prototype was tested in air and inside a phantom material to substantiate the enhancements that are provided by the active elements. Along with the shape memory alloy wires as the primary active components of the device, shape memory polymers were also incorporated in the design to provide additional actuation capabilities. It was shown that by heating shape memory polymers above their glass transition temperatures, a higher deflection could be achieved while supplying the same amount of electrical energy. Having a feasible prototype developed, there was a need to investigate the response of the device inside the tissue. Several factors appear to be of a great importance for a precise path planning and developing a control algorithm for the active needle that is interacting within the tissue. A finite element model was developed in LSDYNA to simulate the deflection of a passive needle inside a tissue. This model used a Arbitrary-Lagrangian-Eulerian (ALE) formulation to consider the penetration of a passive needle inside the tissue. This model was validated by needle insertion experiments, and a reasonable accuracy was achieved.

This study provides a table that could assist surgeons choosing the suitable configuration of needle if a certain radius of curvature has to be achieved. The methodology and studies introduced in this work could be followed for design and development of any other innovative medical devices in which the smart materials play a major role.

## CHAPTER 6

### FUTURE WORKS

There are several areas that has the potential to be investigated as future works. First to improve the performance, dividing the overall length of the active needle into a number of sections could be explored to minimize the tissue puncture. The amount of tissue damage due to the heating elements of actuators depend on two factors: the actuator's temperature and also the time the tissue is subjected to heat. The propagation of the damage zone inside a thermal sensitive phantom due to the heated wires could be studied. Also insulation of the SMA wires with a low conductive polymer could be studied to minimize the thermal damage to the tissue via the heating elements. Also the needle insertion model could be modified to present a real-time simulation. Future work will focus on modeling the tissue as a quasilinear viscoelastic material having a hyperelastic instantaneous elastic function and a linear relaxation function in the form of Prony series to take into account the complete viscoelastic characteristics of the tissue. In this model the relaxation of the tissue after puncture and friction effects will be considered. Mechanics of active needle with SMA actuators inside the tissue will be investigated where rather than choosing the size and bevel-tip angle of the needle surgeons can also utilize the actuation forces to control the needle. This simulation could be used to train surgeons in their needle-based tasks. The insertion force and speed applied by the user could be captured using a haptic device, and then provided to the finite element model as boundary and initial conditions. The surgeons could practice several times until they develop a feel to maintain the best speed and force required to form the desired curvature of the needle path.



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## APPENDIX 1

### CONSTITUTIVE MODEL FOR SHAPE MEMORY ALLOY WIRES

Brinson Model algorithm used to simulate the force response of Nitinol wire.

```
function [T,sig]=brinson(N,ep,Tstart,Tend)

% Necessary inputs are
% N: number of space the stress, strain, temperature matrix should have
% ep: The amount of prestrain the wire should have. This is kept constant
% throughout the calculation
% Tstart: Initial temperature, usually for convection (room) temperature
% Tend: Maximum temperature that the wire reach
% Several material constants must first be defined
% ----- Material constants -----
% Young's Modulus
Ea=69.643e9;      % Austenite Young's Modulus [Pa]
Em=33.14e9;      % Martensite Young's Modulus [Pa]
theta= 0.7e6;    % Coefficient of thermo expansion [Pa/C]
ep_l=0.07;      % Maximum residual strain

% ----- Stress related constants -----
Cm= 5.91e6;      % Clausius-Clapeyron coefficient of Martensite [Pa/C]
Ca=8.78e6;      % Clausius-Clapeyron coefficient of Austenite [Pa/C]
sig_s=5e5;      % Critical start stress below Ms temperature [Pa]
sig_f=40e6;     % Critical finish stress below Ms temperature [Pa]

% ----- Temperature related constants -----
Mf=28.02;      % Martensite Finish Temperature [C]
Ms=37.23;      % Martensite Start Temperature [C]
As=49.73;      % Austenite Start Temperature [C]
Af=60.17;      % Austenite Finish Temperature [C]

% ----- Heating curve -----
% ----- Initialize all the vectors -----
T_lo=linspace(Tstart,Tend,N); % Temperature profile
sig_lo=zeros(size(T_lo));     % Stress profile
ep_lo=ep*ones(size(sig_lo));  % Strain profile
```

```

ep_temp=zeros(size(sig_lo)); % Trial strain
D_lo= zeros(size(sig_lo)); % Young's Modulus profile
Ohm_lo=zeros(size(sig_lo)); % Transformation profile
exci_tlo=zeros(size(sig_lo)); % Temperature induced martensite
exci_slo=zeros(size(sig_lo)); % Stress induced martensite
exci_lo=zeros(size(sig_lo)); % Global martensite
% ----- Initial Conditions -----

T_lo(1)=Tstart; % Initial temperature
ep_lo(1)=ep; % Initial strain
sig_lo(1)=0; % Initial stress
exci_tlo(1)=0.85; % Initial temperature induced martensite
% Initial temperature induced martensite
exci_slo(1)=0.12; % Initial stress induced martensite
exci_lo(1)=exci_slo(1)+exci_tlo(1); % Initial martensite volume fraction
D_lo(1)=Ea+exci_lo(1)*(Em-Ea); % Initial young modulus
Ohm_lo(1)=-ep_l*D_lo(1); % Initial transformation tensor
Cons=D_lo(1)*ep_lo(1)+Ohm_lo(1)*exci_slo(1);

% ----- Calculation of the model -----

for jj=2:length(T_lo)
    sig_temp=sig_lo(jj-1);
    while abs(ep_temp(jj)-ep_lo(jj)) > 1e-4
        [exci_lo(jj),exci_tlo(jj),exci_slo(jj)]=kinetic1(sig_temp, ...
            T_lo(jj),T_lo(1),exci_slo(1),exci_tlo(1),exci_lo(1), ...
            exci_slo(jj-1),exci_tlo(jj-1),exci_lo(jj-1),Cm,Ca, ...
            sig_s,sig_f,Mf,Ms,As,Af);
        D_lo(jj)=Ea+exci_lo(jj)*(Em-Ea);
        Ohm_lo(jj)=-ep_l*D_lo(jj);
        ep_temp(jj)=1/D_lo(jj)*(sig_temp-sig_lo(1)-Ohm_lo(jj)*exci_slo(jj) ...
            -theta*(T_lo(jj)-T_lo(1))+Cons);
        sig_temp=sig_temp+0.01e5;
    end
    sig_lo(jj)=sig_temp;
end

% -----

% Since the constitutive equations are based on initial condition
% calculation of the cooling and heating curve has to be seperated
% ----- Cooling curve -----
% ----- Initialize all the vectors -----
T_un=linspace(Tend,Tstart,N); % Temperature profile
sig_un=sig_lo(end)*ones(size(T_un)); % Stress profile

```

```

ep_un=ep*ones(size(sig_un));           % Strain profile
ep_t=zeros(size(sig_un));              % Trial strain
D_un= zeros(size(sig_un));             % Young's Modulus profile
Ohm_un=zeros(size(sig_un));           % Transformation profile
exci_tun=zeros(size(sig_un));         % Temperature induced martensite
exci_sun=zeros(size(sig_un));         % Stress induced martensite
exci_un=zeros(size(sig_un));          % Total martensite

% ----- Initial Conditions -----

T_un(1)=Tend;                          % Initial temperature
ep_un(1)=ep;                            % Initial strain
sig_un(1)=sig_lo(end);                  % Initial stress
exci_tun(1)=exci_tlo(end);              % Initial temperature induced martensite
exci_sun(1)=exci_slo(end);              % Initial stress induced martensite
exci_un(1)=exci_sun(1)+exci_tun(1);     % Initial martensite volume fraction
D_un(1)=Ea+exci_un(1)*(Em-Ea);          % Initial young modulus
Ohm_un(1)=-ep_l*D_un(1);                % Initial transformation tensor
Cons_un=D_un(1)*ep_un(1)+Ohm_un(1)*exci_sun(1); % Initial term of cons. model
% ----- Calculation of the model -----

for jj=2:length(T_un)
    sig_t=sig_un(jj-1);
    while abs(ep_t(jj)-ep_un(jj)) > 1e-3
        [exci_un(jj),exci_tun(jj),exci_sun(jj)]=kinetic1(sig_t, ...
            T_un(jj),T_un(1),exci_sun(1),exci_tun(1),exci_un(1), ...
            exci_sun(jj-1),exci_tun(jj-1),exci_un(jj-1),Cm,Ca, ...
            sig_s,sig_f,Mf,Ms,As,Af);
        D_un(jj)=Ea+exci_un(jj)*(Em-Ea);
        Ohm_un(jj)=-ep_l*D_un(jj);

        ep_t(jj)=1/D_un(jj)*(sig_t-sig_un(1)-Ohm_un(jj)*exci_sun(jj) ...
            -theta*(T_un(jj)-T_un(1))+Cons_un);
        sig_t=sig_t-0.01e5;
    end
    sig_un(jj)=sig_t;
end

% Combine output temperature and stress response
T=[T_lo,T_un];
sig=[sig_lo, sig_un];

%
end

```

```

% Nested Phase Transformation Kinetic Formulation

function [exci,exci_t,exci_s]=kinetic1(sig,T,To,exci_so,exci_to,exci_o ...
,exci_st,exci_tt,exci_m,Cm,Ca,sig_s,sig_f,Mf,Ms,As,Af)

% -----Define material constants -----
aA=pi/(Af-As);
aM=pi/(Ms-Mf);

% -----

% -----Conversion to austenite -----
if T>To
    if T>As && T<Af
        if sig > Ca*(T-As)
            exci=exci_m;
            exci_s=exci_st;
            exci_t=exci_tt;
        elseif sig < Ca*(T-As)
            exci=exci_o/2*(cos(aA*(T-As-sig/Ca))+1);
            exci_s=exci_so-exci_so/exci_o*(exci_o-exci);
            exci_t=exci_to-exci_to/exci_o*(exci_o-exci);
        end
    elseif T> Af
        if sig <Ca*(T-As) && sig >Ca*(T-Af)
            exci=exci_o/2*(cos(aA*(T-As-sig/Ca))+1);
            exci_s=exci_so-exci_so/exci_o*(exci_o-exci);
            exci_t=exci_to-exci_to/exci_o*(exci_o-exci);
        elseif sig<Ca*(T-Af)
            exci=exci_m;
            exci_s=exci_st;
            exci_t=exci_tt;
        end
    elseif T<As
        exci=exci_m;
        exci_s=exci_st;
        exci_t=exci_tt;
    end
end
%-----
%----- Conversion to martensite -----
if T<To
    if T>Ms
        if sig <sig_s+Cm*(T-Ms)

```

```

exci=exci_m;
exci_s=exci_st;
exci_t=exci_tt;

elseif sig_s+Cm*(T-Ms)< sig && sig < sig_f+Cm*(T-Ms)
if sig_s==0 && sig_f==Cm*(Ms-Mf)
exci_s=(1-exci_so)/2*cos(aM*(T-Mf-sig/Cm)) ...
+ (1+exci_so)/2;
else
exci_s=(1-exci_so)/2*cos(pi()/(sig_s-sig_f)*(sig ...
-sig_f-Cm*(T-Ms)))+(1+exci_so)/2;
end
exci_t=exci_to-exci_to/(1-exci_so)*(exci_s-exci_so);
exci=exci_t+exci_s;
elseif sig > sig_f+Cm*(T-Ms);
exci=exci_m;
exci_s=exci_st;
exci_t=exci_tt;
end
elseif T < Ms
if sig > sig_f
exci=exci_m;
exci_s=exci_st;
exci_t=exci_tt;
elseif sig < sig_s
exci=exci_m;
exci_s=exci_st;
exci_t=exci_tt;

elseif sig>sig_s && sig<sig_f
exci_s=(1-exci_so)/2*cos(pi()/(sig_s-sig_f)*(sig-sig_f))...
+ (1+exci_so)/2;
if Mf<T && T<Ms
deltaT=(1-exci_to)/2*(cos(aM*(T-Mf))+1);
else
deltaT=0;
end
exci_t=exci_to-exci_to/(1-exci_so)*(exci_s-exci_so) ...
+deltaT;
exci=exci_t+exci_s;

end
end

```

end  
end  
%-----

## APPENDIX 2

### ANSYS MODEL OF THE ACTIVE NEEDLE

```
/BATCH
/COM,ANSYS RELEASE 14.5  UP20120918   10:24:00  05/02/2013
/input,menust,tmp,"
/GRA,POWER
/GST,ON
/PLO,INFO,3
/GRO,CURL,ON
/CPLANE,1
/REPLOT,RESIZE
WPSTYLE,,,,,,,,0
/REPLOT,RESIZE
!
FINISH
/CLEAR
/COM,ANSYS RELEASE 14.5  UP20120918   10:24:07  05/02/2013
/input,start145,ans,'C:\Program Files\ANSYS Inc\v145\ANSYS\apdl'
/PREP7
ET,1,solid65
MP,EX,1,60E9 !STEEL HOLDER
MP,PRXY,1,.3
MP,EX,2,60E9 !ALUMINIUM CANULA 1
MP,PRXY,2,.3
TB,MELA,3,1,3,
TBTEMP,20
!TBPT,,3.183231575841485e-4,20e6
TBPT,,0.068771,50e6
TBPT,,.1,866e6
MPTEMP,,,,,,,,
MPTEMP,1,0
MPDATA,EX,3,,90e9
MPDATA,PRXY,3,,0.3
TB,MELA,4,5,3,
TBTEMP,20
TBPT,,0.066934,2E+006
TBPT,,0.1,866E+006
TBTEMP,55
```

```

TBPT,,0.033,3E+006
TBPT,,0.068738,4.475E+007
TBPT,,0.1,8.66E+008
TBTEMP,60
TBPT,,0.0703,8.85E+007
TBPT,,0.1,8.6625E+008
TBTEMP,70
TBPT,,0.0014025,8.8118E+007
TBPT,,0.07375,1.75E+008
TBPT,,0.1,8.66E+008
TBTEMP,90
TBPT,,0.004,2.6355E+008
TBPT,,0.0805,3.545E+008
TBPT,,0.1,8.66E+008
MPTEMP,,,,,,,,
MPTEMP,1,0
MPDATA,EX,4,,90e9
MPDATA,PRXY,4,,0.3
CYL4,0,0,9.015e-3
FLST,2,2,8
FITEM,2,0.7E-02,0,0
FITEM,2,0.7145E-02,0,0
CIRCLE,P51X
FLST,3,4,4,ORDE,2
FITEM,3,5
FITEM,3,-8
ASBL, 1,P51X
/REPLOT,RESIZE
KDIST, 7, 5
!
FINISH
/CLEAR
/COM,ANSYS RELEASE 14.5 UP20120918 10:26:41 05/02/2013
/input,start145,ans,'C:\Program Files\ANSYS Inc\v145\ANSYS\apdl\
/PREP7
ET,1,solid65
MP,EX,1,60E9 !STEEL HOLDER
MP,PRXY,1,.3
MP,EX,2,60E9 !ALUMINIUM CANULA 1
MP,PRXY,2,.3
TB,MELA,3,1,3,
TBTEMP,20
!TBPT,,3.183231575841485e-4,20e6
TBPT,,0.068771,50e6
TBPT,,.1,866e6

```



```

MPTEMP,,,,,,,,
MPTEMP,1,0
MPDATA,EX,3,,90e9
MPDATA,PRXY,3,,0.3
TB,MELA,4,5,3,
TBTEMP,20
TBPT,,0.066934,2E+006
TBPT,,0.1,866E+006
TBTEMP,55
TBPT,,0.033,3E+006
TBPT,,0.068738,4.475E+007
TBPT,,0.1,8.66E+008
TBTEMP,60
TBPT,,0.0703,8.85E+007
TBPT,,0.1,8.6625E+008
TBTEMP,70
TBPT,,0.0014025,8.8118E+007
TBPT,,0.07375,1.75E+008
TBPT,,0.1,8.66E+008
TBTEMP,90
TBPT,,0.004,2.6355E+008
TBPT,,0.0805,3.545E+008
TBPT,,0.1,8.66E+008
MPTEMP,,,,,,,,
MPTEMP,1,0
MPDATA,EX,4,,90e9
MPDATA,PRXY,4,,0.3
CYL4,0,0,9.015e-3
FLST,2,2,8
FITEM,2,0.7E-02,0,0
FITEM,2,0.7145E-02,0,0
CIRCLE,P51X
FLST,3,4,4,ORDE,2
FITEM,3,5
FITEM,3,-8
ASBL, 1,P51X
FLST,2,2,8
FITEM,2,0,0,0
FITEM,2,0.79375E-03,0,0
CIRCLE,P51X
FLST,3,4,4,ORDE,2
FITEM,3,9
FITEM,3,-12
ASBL, 3,P51X
GPLOT

```

```

FLST,2,2,8
FITEM,2,0,0,0
FITEM,2,0.43815E-03,0,0
CIRCLE,P51X
FLST,3,4,4,ORDE,2
FITEM,3,13
FITEM,3,-16
ASBL, 1,P51X
ADELE, 3, , ,1
FLST,2,2,8
FITEM,2,0,0,0
FITEM,2,0.265E-02,0,0
CIRCLE,P51X
FLST,3,4,4,ORDE,2
FITEM,3,17
FITEM,3,-20
ASBL, 4,P51X
FLST,2,1,5,ORDE,1
FITEM,2,4
FLST,2,1,5,ORDE,1
FITEM,2,5
VEXT,P51X, , ,0,0,111.66e-3,,,,
FLST,2,1,5,ORDE,1
FITEM,2,2
VEXT,P51X, , ,0,0,111.66e-3,,,,
FLST,2,1,5,ORDE,1
FITEM,2,1
VEXT,P51X, , ,0,0,7.17e-3,,,,
FLST,2,1,5,ORDE,1
FITEM,2,3
VEXT,P51X, , ,0,0,.83e-3,,,,
! MESH
NUMMRG,KP,1e-008, , ,LOW
CM,_Y,VOLU
VSEL, , , 2
CM,_Y1,VOLU
CMSEL,S,_Y
!*
CMSEL,S,_Y1
VATT, 3, , 1, 0
CMSEL,S,_Y
CMDELE,_Y
CMDELE,_Y1
!*
CM,_Y,VOLU

```

```

VSEL, , , 1
CM,_Y1,VOLU
CMSEL,S,_Y
!*
CMSEL,S,_Y1
VATT, 2, , 1, 0
CMSEL,S,_Y
CMDELE,_Y
CMDELE,_Y1
!*
FLST,5,2,6,ORDE,2
FITEM,5,3
FITEM,5,-4
CM,_Y,VOLU
VSEL, , , ,P51X
CM,_Y1,VOLU
CMSEL,S,_Y
!*
CMSEL,S,_Y1
VATT, 1, , 1, 0
CMSEL,S,_Y
CMDELE,_Y
CMDELE,_Y1
/VIEW,1,1,1,1
/ANG,1
/REP,FAST
! MESH
SMRT,6
SMRT,7
CM,_Y,VOLU
VSEL, , , 4
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
GPLOT
CM,_Y,VOLU
VSEL, , , 3
CM,_Y1,VOLU

```

```

CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
GPLOT
CM,_Y,VOLU
VSEL, , , , 1
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
GPLOT
CM,_Y,VOLU
VSEL, , , , 2
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
/DIST,1,0.924021086472,1
/REP,FAST
/DIST,1,0.924021086472,1
/REP,FAST
/DIST,1,0.924021086472,1
/REP,FAST
/DIST,1,0.924021086472,1
/REP,FAST
/DIST,1,1.08222638492,1
/REP,FAST
/DIST,1,1.08222638492,1

```

```

/REP,FAST
/DIST,1,1.08222638492,1
/REP,FAST
/ZOOM,1,RECT,1.06962,0.867273 ,1.68068278516 ,0.324545439807
/ZOOM,1,RECT,1.03689,-0.0354545 ,1.32877661819 ,-0.321818167203
/ZOOM,1,RECT,-0.512593,0.504545 ,0.32488925816 ,0.0245454534308
/AUTO,1
/REP,FAST
/REPLOT,RESIZE
/REPLOT,RESIZE
/INPUT,'2','txt','E:\ANSYS Works\Parametric Study\SMAs Diameter\E60 SMA 0.29
mm\','0
/COM,ANSYS RELEASE 14.5  UP20120918  11:11:03  05/02/2013
/VIEW,1,1,1,1
/ANG,1
/REP,FAST
CM,_Y,VOLU
VSEL, , , 4
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
/INPUT,'2','txt','E:\ANSYS Works\Parametric Study\SMAs Diameter\E60 SMA 0.29
mm\','0
/COM,ANSYS RELEASE 14.5  UP20120918  11:11:34  05/02/2013
/VIEW,1,1,1,1
/ANG,1
/REP,FAST
SMRT,6
SMRT,7
CM,_Y,VOLU
VSEL, , , 4
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y

```

```

CMDELE,_Y1
CMDELE,_Y2
!*
/INPUT,'2','txt','E:\ANSYS Works\Parametric Study\SMAs Diameter\E60 SMA 0.29
mm\','0
/COM,ANSYS RELEASE 14.5  UP20120918    11:12:12  05/02/2013
/VIEW,1,1,1,1
/ANG,1
/REP,FAST
SMRT,6
CM,_Y,VOLU
VSEL,, , , 4
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
/INPUT,'2','txt','E:\ANSYS Works\Parametric Study\SMAs Diameter\E60 SMA 0.29
mm\','0
/COM,ANSYS RELEASE 14.5  UP20120918    11:12:37  05/02/2013
/VIEW,1,1,1,1
/ANG,1
/REP,FAST
SMRT,6
SMRT,5
CM,_Y,VOLU
VSEL,, , , 4
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
GPLOT
VPLOT
SMRT,6

```

```

CM,_Y,VOLU
VSEL, , , , 3
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
VPLOT
CM,_Y,VOLU
VSEL, , , , 2
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
VPLOT
CM,_Y,VOLU
VSEL, , , , 1
CM,_Y1,VOLU
CHKMSH,'VOLU'
CMSEL,S,_Y
!*
VSWEEP,_Y1
!*
CMDELE,_Y
CMDELE,_Y1
CMDELE,_Y2
!*
/UI,MESH,OFF
!
LGWRITE,'try','lgw','E:\ANSYSW~1\PARAM~1\SMASDI~1\E60SMA~1.29M\','COM
MENT
/INPUT,'2','txt','E:\ANSYS Works\Parametric Study\SMAs Diameter\E60 SMA 0.29
mm\','0
/COM,ANSYS RELEASE 14.5 UP20120918 12:32:16 05/02/2013

```

```

ALLSEL,ALL
EALIVE,ALL
ALLSEL,ALL
FLST,2,40,5,ORDE,2
FITEM,2,1
FITEM,2,-40
SFADELE,P51X,1,PRES
FLST,2,1,5,ORDE,1
FITEM,2,2
DADELE,P51X,ALL
VSEL,S,,2
ESLV,S
MPCHG,4,ALL,
ALLSEL,ALL
TIME,2
SOLVE
*DEL,_FNCNAME
*DEL,_FNCMTID
*DEL,_FNCCSYS
*SET,_FNCNAME,'tt'
*SET,_FNCCSYS,0
!/INPUT,TEMPERATURE.func,,,1
*DIM,%_FNCNAME%,TABLE,6,5,1,,,,%_FNCCSYS%
!
! Begin of equation: {TIME}*2+20
*SET,%_FNCNAME%(0,0,1),0.0,-999
*SET,%_FNCNAME%(2,0,1),0.0
*SET,%_FNCNAME%(3,0,1),0.0
*SET,%_FNCNAME%(4,0,1),0.0
*SET,%_FNCNAME%(5,0,1),0.0
*SET,%_FNCNAME%(6,0,1),0.0
*SET,%_FNCNAME%(0,1,1),1.0,-1,0,2,0,0,1
*SET,%_FNCNAME%(0,2,1),0.0,-2,0,1,1,3,-1
*SET,%_FNCNAME%(0,3,1),0,-1,0,20,0,0,-2
*SET,%_FNCNAME%(0,4,1),0.0,-3,0,1,-2,1,-1
*SET,%_FNCNAME%(0,5,1),0.0,99,0,1,-3,0,0
! End of equation: {TIME}*2+20
!-->
VSEL,S,,2
ESLV,S
FLST,2,1,6,ORDE,1
FITEM,2,2
BFV,P51X,TEMP,%TT%
ALLSEL,ALL
TIME,30

```



```

SOLVE
/REPLOT,RESIZE
FINISH
/POST1
PLDISP,0
/VIEW,1,,1
/ANG,1
/REP,FAST
/USER, 1
/VIEW, 1, 0.373409617403E-01, 0.846321398663 , -0.531362157800
/ANG, 1, 175.580236947
/REPLO
/ZOOM,1,RECT,0.447647,0.81 ,0.728626565999 ,0.583636337132
/ZOOM,1,RECT,-0.0870319,0.177273 ,0.319433348595 , -0.3190908946
FLST,5,3,1,ORDE,3
FITEM,5,44703
FITEM,5,44705
FITEM,5,62532
NSEL,S, , P51X
!*
PRNSOL,U,COMP
ALLSEL,ALL
!*
PRNSOL,U,COMP
/VIEW,1,,1
/ANG,1
/REP,FAST
/AUTO,1
/REP,FAST
PLDISP,1
PLDISP,2
!*
PLESOL, S,Z, 0,1.0
/ZOOM,1,RECT,0.338529,0.807273 ,0.690435199041 ,0.449999979564
/ZOOM,1,RECT,-0.272533,0.766364 ,0.946862948614 , -0.482727250805
/ZOOM,1,RECT,0.851385,-0.520909 ,1.00687795383 , -0.662727242631
/DIST,1,1.37174211248,1
/REP,FAST
/DIST,1,1.37174211248,1
/REP,FAST
/DIST,1,1.37174211248,1
/REP,FAST
/DIST,1,1.37174211248,1
/REP,FAST
/DIST,1,1.37174211248,1

```

```

/REP,FAST
/DIST,1,1.37174211248,1
/REP,FAST
/DIST,1,1.37174211248,1
/REP,FAST
/FOC,1,,0.3,,1
/REP,FAST
/FOC,1,,0.3,,1
/REP,FAST
/FOC,1,,0.3,,1
/REP,FAST
/FOC,1,-0.3,,1
/REP,FAST
/FOC,1,-0.3,,1
/REP,FAST
/DIST,1,1.37174211248,1
/REP,FAST
!*
PLESOL, S,X, 0,1.0
!*
PLESOL, S,Y, 0,1.0
/VIEW, 1, 0.530782887760 , 0.756158917116 , -0.382744324749
/ANG, 1, 123.483534134
/REPLO
/VIEW, 1, 0.591345423430 , 0.703288209152 , -0.394583685745
/ANG, 1, 121.943486140
/REPLO
!*
PLESOL, S,EQV, 0,1.0
/AUTO,1
/REP,FAST
/VIEW,1,,1
/ANG,1
/REP,FAST
!*
PLDI, ,
ANTIME,10,0.5, ,1,1,0,3
!*
/ANFILE,SAVE,'deformation',''
SET,FIRST
!*
PLESOL, S,Z, 0,1.0
/ZOOM,1,SCRN,0.363081,0.668182,0.229411,0.812727
/DIST,1,0.729,1
/REP,FAST

```

```

/DIST,1,0.729,1
/REP,FAST
/FOC,1,,0.3,,1
/REP,FAST
/FOC,1,,0.3,,1
/REP,FAST
/FOC,1,,0.3,,1
/REP,FAST
SET,NEXT
!*
PLESOL, S,Z, 0,1.0
/AUTO,1
/REP,FAST
/ZOOM,1,RECT,0.172124,0.777273 ,0.483110635557 ,0.62181815358
/ZOOM,1,RECT,-0.610799,0.550909 ,1.40788730689 , -0.436363616547
/FOC,1,,0.3,,1
/REP,FAST
SET,NEXT
PLDISP,2
/AUTO,1
/REP,FAST
/SHOW,TIFF,,0
TIFF,COMP,1
TIFF,ORIENT,HORIZ
TIFF,COLOR,2
TIFF,TMOD,1
/GFILE,3600,
!*
/CMAP,_TEMPCMAP_,CMP,,SAVE
/RGB,INDEX,100,100,100,0
/RGB,INDEX,0,0,0,15
/RELOT
/CMAP,_TEMPCMAP_,CMP
/DELETE,_TEMPCMAP_,CMP
/SHOW,CLOSE
/DEVICE,VECTOR,0
!*
PLDISP,0
/SHOW,TIFF,,0
TIFF,COMP,1
TIFF,ORIENT,HORIZ
TIFF,COLOR,2
TIFF,TMOD,1
/GFILE,2400,
!*

```

```

/CMAP,_TEMPCMAP_,CMP,,SAVE
/RGB,INDEX,100,100,100,0
/RGB,INDEX,0,0,0,15
/REPLOT
/CMAP,_TEMPCMAP_,CMP
/DELETE,_TEMPCMAP_,CMP
/SHOW,CLOSE
/DEVICE,VECTOR,0
!*
PLDISP,1
/SHOW,TIFF,,0
TIFF,COMP,1
TIFF,ORIENT,HORIZ
TIFF,COLOR,2
TIFF,TMOD,1
/GFILE,2400,
!*
/CMAP,_TEMPCMAP_,CMP,,SAVE
/RGB,INDEX,100,100,100,0
/RGB,INDEX,0,0,0,15
/REPLOT
/CMAP,_TEMPCMAP_,CMP
/DELETE,_TEMPCMAP_,CMP
/SHOW,CLOSE
/DEVICE,VECTOR,0
!*
SET,FIRST
PLDISP,0
/SHOW,TIFF,,0
TIFF,COMP,1
TIFF,ORIENT,HORIZ
TIFF,COLOR,2
TIFF,TMOD,1
/GFILE,2400,
!*
/CMAP,_TEMPCMAP_,CMP,,SAVE
/RGB,INDEX,100,100,100,0
/RGB,INDEX,0,0,0,15
/REPLOT
/CMAP,_TEMPCMAP_,CMP
/DELETE,_TEMPCMAP_,CMP
/SHOW,CLOSE
/DEVICE,VECTOR,0
!*
/REPLOT,RESIZE

```

/REPLOT,RESIZE  
/REPLOT,RESIZE  
FINISH  
! /EXIT,ALL

### APPENDIX 3

#### LSDYNA NEEDLE INSERTION MODEL

```

$# LS-DYNA Keyword file created by LS-PrePost 4.0 - 28Jan2013(19:00)
$# Created on Dec-12-2014 (14:41:12)
*KEYWORD
*TITLE
$# title
LS-DYNA keyword deck by LS-PrePost
*CONTROL_ALE
$#   dct   nadv   meth   afac   bfac   cfac   dfac   efac
      3     1     2 -1.000000  0.000  0.000  0.000  0.000
$#   start   end   aafac   vfact   prit   ebc   pref   nsidebc
      0.0001.0000E+20  1.000000  1.0000E-6    0     0  0.000    0
$#   ncpl   nbkt   imascl   checkr
      1     50     0  0.000
*CONTROL_TERMINATION
$#   endtim   endcyc   dtmin   endeng   endmas
      7890.0000    0  0.000  0.000  0.000
*DATABASE_BINARY_D3PLOT
$#   dt   lcdt   beam   npltc   psetid
      10.000000    0    0    0    0
$#   ioopt
      0
*DATABASE_BINARY_FSIFOR
$#   dt   lcdt   beam   npltc   psetid
      10.000000    0    0    0    0
*DATABASE_FSI
$#   dt
      1.000000
$#dbsfi_id   sid   stype   swid   convid
      1     1     2     0     0
*BOUNDARY_PRESCRIBED_MOTION_SET_ID
$#   id                                     heading
      1velocity
$#   nsid   dof   vad   lcdt   sf   vid   death   birth
      26    3    0    1 -1.000000  01.0000E+28  0.000
*BOUNDARY_SPC_SET_ID
$#   id                                     heading
```

```

1fixed faces
$#  nsid   cid   dofx   dofz   dofrx   dofry   dofrz
    17    0    1     1     1     0     0     0
*SET_NODE_LIST_TITLE
fixed nodes
$#  sid   da1   da2   da3   da4   solver
    17  0.000 0.000 0.000 0.000MECH
$#  nid1  nid2  nid3  nid4  nid5  nid6  nid7  nid8
    9938  9940  9944  9945  9947  9948  9951  9955
    9956  9958  9961  9965  9966  9968  9971  9975
    9976  9978  9981  9985  9986  9988  9991  9995
    9996  9998 10001 10005 10006 10008 10011 10015
    10016 10018 10219 10223 10261 10265 10787 10793
    10797 10798 10801 10804 10807 10808 10811 10814
    10817 10818 10821 10824 10827 10828 10831 10834
    10837 10838 10841 10844 10847 10848 10851 10854
    10857 10858 10861 10864 10867 10868 10871 10874
    10877 10878 10883 10884 11085 11093 11095 11098
    11099 11104 11105 11108 11109 11114 11115 11118
    11119 11124 11125 11128 11129 11134 11135 11138
    11139 11144 11145 11148 11149 11154 11155 11158
    11159 11164 11165 11168 11169 11174 11175 11178
    11181 11184 11187 11193 11197 11198 11200 11204
    11207 11208 11211 11214 11217 11218 11220 11222
    11224 11225 11227 11231 11234 11235 11237 11241
    11244 11245 11247 11249 11251 11252 11254 11256
    11258 11259 11261 11265 11268 11269 11271 11275
    11278 11279 11281 11283 11285 11286 11288 11290
    11292 11293 11295 11299 11302 11303 11309 11313
    11315 11317 11319 11320 11324 11327 11330 11333
    11336 11337 11339 11341 11343 11344 11347 11350
    11353 11354 11356 11358 11360 11361 11363 11365
    11367 11368 11370 11372 11374 11375 11377 11379
    11381 11382 11384 11386 11388 11389 11391 11393
    11395 11396 11398 11400 11402 11403 11405 11407
    11409 11410 11412 11414 11416 11417 11419 11421
    11423 11424 11428 11431 11433 11435 11437 11438
    11442 11445 11448 11451 11454 11455 11457 11459
    11461 11462 11465 11468 11471 11472 11474 11476
    11478 11479 11481 11483 11485 11486 11488 11490
    11492 11493 11495 11497 11499 11500 11502 11504
    11506 11507 11509 11511 11513 11514 11516 11518
    11520 11521 11523 11525 11527 11528 11530 11532
    11534 11535 11537 11539 11541 11542 11546 11549
    11551 11553 11555 11556 11560 11563 11566 11569

```

11572 11573 11575 11577 11579 11580 11583 11586  
11589 11590 11592 11594 11596 11597 11599 11601  
11603 11604 11606 11608 11610 11611 11613 11615  
11617 11618 11620 11622 11624 11625 11627 11629  
11631 11632 11634 11636 11638 11639 11641 11643  
11645 11646 11648 11650 11652 11653 11655 11657  
11659 11660 11664 11667 11669 11671 11673 11674  
11678 11681 11684 11687 11690 11691 11693 11695  
11697 11698 11703 11704 11707 11708 11710 11712  
11714 11715 11717 11719 11721 11722 11725 11726  
11729 11730 11732 11734 11736 11737 11739 11741  
11743 11744 11747 11748 11751 11752 11754 11756  
11758 11759 11763 11766 11769 11770 11774 12944  
12945 12956 12957 12962 12963 12964 12965 12970  
12971 12972 12973 12978 12979 12980 12981 12985  
12986 12987 13362 13363 13374 13375 13380 13381  
13382 13383 13388 13389 13390 13391 13396 13397  
13398 13399 13403 13404 13405 13780 13781 13792  
13793 13798 13799 13800 13801 13806 13807 13808  
13809 13814 13815 13816 13817 13821 13822 13823  
14198 14199 14210 14211 14216 14217 14218 14219  
14224 14225 14226 14227 14232 14233 14234 14235  
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*SET_NODE_LIST_TITLE
7th
$# sid da1 da2 da3 da4 solver
7 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12373 12374 12384 12385 12395 12396 12406 12407
12417 12418 12428 12429 12439 12440 12450 12451
12461 12462 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
08
$# nsid cid dofx dofy dofz dofrx dofry dofrz
8 0 1 1 0 1 1 1
$# birth death
0.000 2610.0000
*SET_NODE_LIST_TITLE
8th
$# sid da1 da2 da3 da4 solver
8 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12371 12372 12382 12383 12393 12394 12404 12405
12415 12416 12426 12427 12437 12438 12448 12449
12459 12460 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
09
$# nsid cid dofx dofy dofz dofrx dofry dofrz
9 0 1 1 0 1 1 1
$# birth death
0.000 2940.0000
*SET_NODE_LIST_TITLE
9th
$# sid da1 da2 da3 da4 solver
9 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12369 12370 12380 12381 12391 12392 12402 12403
12413 12414 12424 12425 12435 12436 12446 12447

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12457 12458 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
010
$# nsid cid dofx dofy dofz dofrx dofry dofrz
10 0 1 1 0 1 1 1
$# birth death
0.000 3270.0000
*SET_NODE_LIST_TITLE
10th
$# sid da1 da2 da3 da4 solver
10 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12367 12368 12378 12379 12389 12390 12400 12401
12411 12412 12422 12423 12433 12434 12444 12445
12455 12456 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
011
$# nsid cid dofx dofy dofz dofrx dofry dofrz
11 0 1 1 0 1 1 1
$# birth death
0.000 3600.0000
*SET_NODE_LIST_TITLE
11th
$# sid da1 da2 da3 da4 solver
11 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12482 12483 12492 12493 12502 12503 12512 12513
12522 12523 12532 12533 12542 12543 12552 12553
12562 12563 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
012
$# nsid cid dofx dofy dofz dofrx dofry dofrz
12 0 1 1 0 1 1 1
$# birth death
0.000 3930.0000
*SET_NODE_LIST_TITLE
12th
$# sid da1 da2 da3 da4 solver
12 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12480 12481 12490 12491 12500 12501 12510 12511
12520 12521 12530 12531 12540 12541 12550 12551

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12560 12561 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
013
$# nsid cid dofx dofy dofz dofrx dofry dofrz
13 0 1 1 0 1 1 1
$# birth death
0.000 4260.0000
*SET_NODE_LIST_TITLE
13th
$# sid da1 da2 da3 da4 solver
13 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12478 12479 12488 12489 12498 12499 12508 12509
12518 12519 12528 12529 12538 12539 12548 12549
12558 12559 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
014
$# nsid cid dofx dofy dofz dofrx dofry dofrz
14 0 1 1 0 1 1 1
$# birth death
0.000 4590.0000
*SET_NODE_LIST_TITLE
14th
$# sid da1 da2 da3 da4 solver
14 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12476 12477 12486 12487 12496 12497 12506 12507
12516 12517 12526 12527 12536 12537 12546 12547
12556 12557 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
015
$# nsid cid dofx dofy dofz dofrx dofry dofrz
15 0 1 1 0 1 1 1
$# birth death
0.000 4920.0000
*SET_NODE_LIST_TITLE
15th
$# sid da1 da2 da3 da4 solver
15 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12474 12475 12484 12485 12494 12495 12504 12505
12514 12515 12524 12525 12534 12535 12544 12545

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12554 12555 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
1716
$# nsid cid dofx dofy dofz dofrx dofry dofrz
18 0 1 1 0 1 1 1
$# birth death
0.000 5250.0000
*SET_NODE_LIST_TITLE
16th
$# sid da1 da2 da3 da4 solver
18 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
12564 12565 12567 12568 12570 12571 12573 12574
12576 12577 12579 12580 12582 12583 12585 12586
12588 12589 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
1817
$# nsid cid dofx dofy dofz dofrx dofry dofrz
19 0 1 1 0 1 1 1
$# birth death
0.000 5580.0000
*SET_NODE_LIST_TITLE
17th
$# sid da1 da2 da3 da4 solver
19 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
17070 17071 17077 17078 17084 17085 17091 17092
17098 17099 17105 17106 17112 17113 17119 17120
17126 17127 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
1918
$# nsid cid dofx dofy dofz dofrx dofry dofrz
20 0 1 1 0 1 1 1
$# birth death
0.000 5910.0000
*SET_NODE_LIST_TITLE
18th
$# sid da1 da2 da3 da4 solver
20 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
17068 17069 17075 17076 17082 17083 17089 17090
17096 17097 17103 17104 17110 17111 17117 17118

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17124 17125 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
2019
$# nsid cid dofx dofy dofz dofrx dofry dofrz
21 0 1 1 0 1 1 1
$# birth death
0.000 6240.0000
*SET_NODE_LIST_TITLE
19th
$# sid da1 da2 da3 da4 solver
21 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
17066 17067 17073 17074 17080 17081 17087 17088
17094 17095 17101 17102 17108 17109 17115 17116
17122 17123 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
020
$# nsid cid dofx dofy dofz dofrx dofry dofrz
22 0 1 1 0 1 1 1
$# birth death
0.000 6570.0000
*SET_NODE_LIST_TITLE
20th
$# sid da1 da2 da3 da4 solver
22 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
17129 17130 17131 17132 17133 17134 17135 17136
17137 17138 17139 17140 17141 17142 17143 17144
17145 17146 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
021
$# nsid cid dofx dofy dofz dofrx dofry dofrz
23 0 1 1 0 1 1 1
$# birth death
0.000 6900.0000
*SET_NODE_LIST_TITLE
21st
$# sid da1 da2 da3 da4 solver
23 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
24286 24287 24289 24290 24292 24293 24295 24296
24298 24299 24301 24302 24304 24305 24307 24308

```

```

24310 24311 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
022
$# nsid cid dofx dofy dofz dofrx dofry dofrz
24 0 1 1 0 1 1 1
$# birth death
0.000 7230.0000
*SET_NODE_LIST_TITLE
22nd
$# sid da1 da2 da3 da4 solver
24 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
24313 24314 24315 24316 24317 24318 24319 24320
24321 24322 24323 24324 24325 24326 24327 24328
24329 24330 0 0 0 0 0 0
*BOUNDARY_SPC_SET_BIRTH_DEATH_ID
$# id heading
023
$# nsid cid dofx dofy dofz dofrx dofry dofrz
25 0 1 1 0 1 1 1
$# birth death
0.000 7560.0000
*SET_NODE_LIST_TITLE
23rd
$# sid da1 da2 da3 da4 solver
25 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
24331 24332 24334 24335 24337 24338 24340 24341
24343 24344 24346 24347 24349 24350 24352 24353
24355 24356 0 0 0 0 0 0
*LOAD_SEGMENT_SET_ID
$# id heading
1force
$# ssid lcid sf at dt
1 1 5.0000E-5 0.000 0.000
*PART
$# title
Needle
$# pid secid mid eosid hgid grav adpopt tmid
1 1 1 0 0 0 0 0
*SECTION_SOLID_TITLE
Needle
$# secid elform aet
1 1 0

```

```

*MAT_ELASTIC_TITLE
needl elastic
$# mid ro e pr da db not used
1 7.8000E-6 0.050000 0.300000 0.000 0.000 0
*PART
$# title
Prostate
$# pid secid mid eosid hgid grav adpopt tmid
2 2 2 0 1 0 0 0
*SECTION_SOLID_ALE_TITLE
Prostatevoid
$# secid elform aet
2 12 1
$# afac bfac cfac dfac start end aafac
-1.000000 0.000 0.000 0.000 0.000 0.000 0.000
*MAT_ELASTIC_TITLE
Prostate
$# mid ro e pr da db not used
2 0.002000 2.5000E-8 0.300000 0.000 0.000 0
*HOURLASS_TITLE
HG
$# hgid ihq qm ibq q1 q2 qb/vdc qw
1 1 1.0000E-6 0 1.500000 0.060000 0.100000 0.100000
*PART
$# title
Void
$# pid secid mid eosid hgid grav adpopt tmid
3 2 2 0 1 0 0 0
*INITIAL_VOID_PART
$# pid
3
*DEFINE_CURVE_TITLE
velocity
$# lcid sidr sfa sfo offa offo dattyp
1 0 1.000000 1.000000 0.000 0.000 0
$# a1 o1
0.000 0.015000
10000.000 0.015000
*SET_NODE_LIST_TITLE
needle end
$# sid da1 da2 da3 da4 solver
26 0.000 0.000 0.000 0.000MECH
$# nid1 nid2 nid3 nid4 nid5 nid6 nid7 nid8
24358 24359 24360 24361 24362 24363 24364 24365
24366 24367 24368 24369 24370 24371 24372 24373

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```

24374 24375 0 0 0 0 0 0
*SET_PART_LIST_TITLE
ALE Part
$# sid da1 da2 da3 da4 solver
1 0.000 0.000 0.000 0.000MECH
$# pid1 pid2 pid3 pid4 pid5 pid6 pid7 pid8
2 3 0 0 0 0 0 0
*SET_SEGMENT_TITLE
needle tip
$# sid da1 da2 da3 da4 solver
1 0.000 0.000 0.000 0.000MECH
$# n1 n2 n3 n4 a1 a2 a3 a4
134 135 67 3 0.000 0.000 0.000 0.000
69 68 67 135 0.000 0.000 0.000 0.000
135 132 4 69 0.000 0.000 0.000 0.000
134 133 132 135 0.000 0.000 0.000 0.000
*CONSTRAINED_LAGRANGE_IN_SOLID
$# slave master sstyp mstyp nquad ctype direc mcoup
1 1 1 0 0 2 1 0
$# start end pfac fric frcmin norm normtyp damp
0.0001.0000E+10 0.100000 0.000 0.500000 0 0 0.000
$# cq hmin hmax ileak pleak lcidpor nvent blockage
0.000 0.000 0.000 0 0.100000 0 0 0
*ELEMENT_SOLID
$# eid pid n1 n2 n3 n4 n5 n6 n7 n8
50 1 118 302 539 362 119 303 540 363
51 1 119 303 540 363 120 304 541 364
52 1 120 304 541 364 121 305 542 365
53 1 121 305 542 365 122 306 543 366
54 1 122 306 543 366 123 307 544 367
55 1 123 307 544 367 124 308 545 368
56 1 124 308 545 368 125 309 546 369
57 1 125 309 546 369 126 310 547 370
58 1 126 310 547 370 127 311 548 371
59 1 127 311 548 371 128 312 549 372
60 1 128 312 549 372 4 69 135 132
110 1 184 539 302 243 185 540 303 244
111 1 185 540 303 244 186 541 304 245
112 1 186 541 304 245 187 542 305 246
113 1 187 542 305 246 188 543 306 247
114 1 188 543 306 247 189 544 307 248
115 1 189 544 307 248 190 545 308 249
116 1 190 545 308 249 191 546 309 250
117 1 191 546 309 250 192 547 310 251
118 1 192 547 310 251 193 548 311 252

```



119	1	193	548	311	252	194	549	312	253
120	1	194	549	312	253	67	135	69	68
170	1	362	539	480	421	363	540	481	422
171	1	363	540	481	422	364	541	482	423
172	1	364	541	482	423	365	542	483	424
173	1	365	542	483	424	366	543	484	425
174	1	366	543	484	425	367	544	485	426
175	1	367	544	485	426	368	545	486	427
176	1	368	545	486	427	369	546	487	428
177	1	369	546	487	428	370	547	488	429
178	1	370	547	488	429	371	548	489	430
179	1	371	548	489	430	372	549	490	431
180	1	372	549	490	431	132	135	134	133
230	1	184	56	480	539	185	57	481	540
231	1	185	57	481	540	186	58	482	541
232	1	186	58	482	541	187	59	483	542
233	1	187	59	483	542	188	60	484	543
234	1	188	60	484	543	189	61	485	544
235	1	189	61	485	544	190	62	486	545
236	1	190	62	486	545	191	63	487	546
237	1	191	63	487	546	192	64	488	547
238	1	192	64	488	547	193	65	489	548
239	1	193	65	489	548	194	66	490	549
240	1	194	66	490	549	67	3	134	135

Due to the very large number of elements, the coordinates of the rest of the elements are not reproduced here.

\*NODE

\$#	nid	x	y	z	tc	rc
3		-1.752187	0.310058	0.533012	0	0

Due to the very large number of nodes, the coordinates of the rest of the nodes are not reproduced here.

\*END